

| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
|------------|--------------------------|----------|--------|---------|----------|----------|---------|
| 2/28/2009 | Initial Position | 850 | OSK | 6.26 | | 5321 | |
| 2/28/2009 | Initial Position | 200 | TEX | 8.92 | | 1784 | |
| 2/28/2009 | Initial Position | 1500 | YRCW | 2.2 | | 3300 | |
| 2/28/2009 | Initial Position | 1000 | BAC | 3.95 | | 3950 | |
| 2/28/2009 | Initial Position | 1000 | C | 1.50 | | 1500 | |
| 2/28/2009 | Initial Position | 1800 | GNW | 1.21 | | 2178 | |
| 2/28/2009 | Initial Position | 350 | HIG | 6.1 | | 2135 | |
| 2/28/2009 | Initial Position | 100 | COF | 12.05 | | 1205 | |
| 2/28/2009 | Initial Position | 500 | MS | 19.54 | | 9770 | |
| 2/28/2009 | Initial Position | | CASH | | | 438 | |
| 4/15/2009 | Sold 500 MS @23.45 | 500 | MS | 23.45 | | 11725 | |
| 5/6/2009 | Bought 300 VLO @ 22.6999 | 300 | VLO | 22.6999 | 9.99 | -6819.96 | |
| 5/6/2009 | Bought 350 HIG @ 13.9888 | 350 | HIG | 13.9888 | 9.99 | -4906.07 | |
| 5/20/2009 | QUALIFIED DIVIDEND (COF) | | COF | | | 5 | |
| 6/9/2009 | Sold 300 HIG @ 14.2509 | 300 | HIG | 14.2509 | 9.99 | 4265.17 | 0.11 |
| 6/9/2009 | Bought 300 TEX @ 14.4788 | 300 | TEX | 14.4788 | 9.99 | -4353.63 | |
| 6/17/2009 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 45 | |
| 6/19/2009 | Sold 1500 YRCW @ 1.9401 | 1500 | YRCW | 1.9401 | 9.99 | 2900.08 | 0.08 |
| 6/19/2009 | Bought 175 BT @ 17.199 | 175 | BT | 17.199 | 9.99 | -3019.82 | |
| 6/26/2009 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 10 | |
| 7/1/2009 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 35 | |
| 7/15/2009 | Sold 175 BT @ 17.331 | 175 | BT | 17.331 | 9.99 | 3022.86 | 0.08 |
| 7/15/2009 | Bought 250 RRD @ 12.08 | 250 | RRD | 12.08 | 9.99 | -3029.99 | |
| 7/22/2009 | Sold 450 OSK @ 25.8 | 450 | OSK | 25.8 | 9.99 | 11599.71 | 0.3 |
| 7/22/2009 | Bought 500 RRD @ 12.8997 | 500 | RRD | 12.8997 | 9.99 | -6459.84 | |
| 7/23/2009 | Bought 430 GE @ 11.9995 | 430 | GE | 11.9995 | 9.99 | -5169.78 | |
| 8/20/2009 | QUALIFIED DIVIDEND (COF) | | COF | | | 5 | |
| 9/1/2009 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 195 | |
| 9/16/2009 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 45 | |
| 9/16/2009 | Sold 100 COF @ 37.99 | 100 | COF | 37.99 | 9.99 | 3788.91 | 0.1 |
| 9/16/2009 | Bought 240 GE @ 16.7099 | 240 | GE | 16.7099 | 9.99 | -4020.37 | |
| 9/23/2009 | Sold 900 GNW @ 13.211 | 900 | GNW | 13.211 | 9.99 | 11879.6 | 0.31 |
| 9/23/2009 | Bought 1200 YRCW @ 5.589 | 1200 | YRCW | 5.589 | 9.99 | -6716.79 | |
| 9/23/2009 | Bought 1100 C @ 4.6999 | 1100 | C | 4.6999 | 9.99 | -5179.88 | |
| 9/25/2009 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 10 | |
| 10/1/2009 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 10/13/2009 | Sold 1200 YRCW @ 4.171 | 1200 | YRCW | 4.171 | 9.99 | 4995.08 | 0.13 |
| 10/15/2009 | Bought 39 IMN @ 9.5 | 39 | IMN | 9.5 | 9.99 | -380.49 | |
| 10/16/2009 | Bought 486 IMN @ 9.5 | 486 | IMN | 9.5 | 9.99 | -4626.99 | |
| 10/26/2009 | QUALIFIED DIVIDEND (GE) | | GE | | | 67 | |
| 11/24/2009 | Sold 500 TEX @ 19.39 | 500 | TEX | 19.39 | 9.99 | 9684.76 | 0.25 |
| 12/1/2009 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 195 | |
| 12/1/2009 | Bought 270 LLY @ 36.9895 | 270 | LLY | 36.9895 | 9.99 | -9997.16 | |
| 12/9/2009 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 45 | |
| 12/22/2009 | Sold 400 OSK @ 37.49 | 400 | OSK | 37.49 | 9.99 | 14985.62 | 0.39 |
| 12/22/2009 | Bought 300 AET @ 34.0299 | 300 | AET | 34.0299 | 9.99 | -10219 | |
| 12/22/2009 | Bought 400 GNW @ 11.889 | 400 | GNW | 11.889 | 9.99 | -4765.59 | |
| 12/24/2009 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 10 | |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
| 1/4/2010 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 1/25/2010 | QUALIFIED DIVIDEND (GE) | | GE | | | 67 | |
| 1/25/2010 | Sold 300 RRD @ 20.1501 | 300 | RRD | 20.1501 | 9.99 | 6034.96 | 0.08 |
| 1/25/2010 | Bought 400 IMN @ 9.1825 | 400 | IMN | 9.1825 | 9.99 | -3682.99 | |
| 1/25/2010 | Bought 250 IMN @ 9.22 | 250 | IMN | 9.22 | 0 | -2305 | |
| 3/1/2010 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 195 | |
| 3/4/2010 | Sold 400 GNW @ 15.9301 | 400 | GNW | 15.9301 | 9.99 | 6361.96 | 0.09 |

| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
|------------|----------------------------|----------|--------|---------|----------|----------|---------|
| 3/4/2010 | Bought 325 IMN @ 9.9699 | 325 | IMN | 9.9699 | 9.99 | -3250.21 | |
| 3/4/2010 | Bought 185 VLO @ 18.7199 | 185 | VLO | 18.7199 | 9.99 | -3473.17 | |
| 3/10/2010 | QUALIFIED DIVIDEND (LLY) | | LLY | | | 132.3 | |
| 3/12/2010 | Sold 900 GNW @ 16.3901 | 900 | GNW | 16.3901 | 9.99 | 14740.91 | 0.19 |
| 3/12/2010 | Bought 300 NOK @ 14.7899 | 300 | NOK | 14.7899 | 9.99 | -4446.96 | |
| 3/12/2010 | Bought 400 ASBC @ 13.2999 | 400 | ASBC | 13.2999 | 9.99 | -5329.95 | |
| 3/12/2010 | Bought 345 SKYW @ 14.7699 | 345 | SKYW | 14.7699 | 9.99 | -5105.61 | |
| 3/17/2010 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 15 | |
| 3/26/2010 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 10 | |
| 4/1/2010 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 4/1/2010 | Sold 300 IMN @ 11.08 | 300 | IMN | 11.08 | 9.99 | 3313.95 | 0.06 |
| 4/1/2010 | Sold 400 IMN @ 11.0701 | 400 | IMN | 11.0701 | 0 | 4427.96 | 0.08 |
| 4/1/2010 | Bought 150 COP @ 51.9599 | 150 | COP | 51.9599 | 9.99 | -7803.98 | |
| 4/6/2010 | QUALIFIED DIVIDEND (SKYW) | | SKYW | | | 13.8 | |
| 4/26/2010 | QUALIFIED DIVIDEND (GE) | | GE | | | 67 | |
| 5/17/2010 | QUALIFIED DIVIDEND (ASBC) | | ASBC | | | 4 | |
| 5/28/2010 | QUALIFIED DIVIDEND (NOK) | | NOK | | | 145.02 | |
| 5/28/2010 | FOREIGN TAX WITHHELD (NOK) | | NOK | | | -21.75 | |
| 6/1/2010 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 117 | |
| 6/1/2010 | QUALIFIED DIVIDEND (COP) | | COP | | | 82.5 | |
| 6/10/2010 | QUALIFIED DIVIDEND (LLY) | | LLY | | | 132.3 | |
| 6/16/2010 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 24.25 | |
| 6/25/2010 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 10 | |
| 6/28/2010 | Sold 500 BAC @ 15.2602 | 500 | BAC | 15.2602 | 9.99 | 7619.98 | 0.13 |
| 6/28/2010 | Bought 250 NE @ 29.9499 | 250 | NE | 29.9499 | 9.99 | -7497.47 | |
| 7/1/2010 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 7/1/2010 | Sold 200 GE @ 14.2001 | 200 | GE | 14.2001 | 9.99 | 2829.98 | 0.05 |
| 7/1/2010 | Bought 400 NOK @ 8.3499 | 400 | NOK | 8.3499 | 9.99 | -3349.95 | |
| 7/6/2010 | QUALIFIED DIVIDEND (SKYW) | | SKYW | | | 13.8 | |
| 7/26/2010 | QUALIFIED DIVIDEND (GE) | | GE | | | 67 | |
| 8/17/2010 | QUALIFIED DIVIDEND (ASBC) | | ASBC | | | 4 | |
| 8/17/2010 | Sold 400 ASBC @ 12.8 | 400 | ASBC | 12.8 | 9.99 | 5109.92 | 0.09 |
| 8/17/2010 | Bought 125 EXC @ 41.7199 | 125 | EXC | 41.7199 | 9.99 | -5224.98 | |
| 8/19/2010 | QUALIFIED DIVIDEND (NE) | | NE | | | 31.31 | |
| 8/19/2010 | QUALIFIED DIVIDEND (NE) | | NE | | | 134.86 | |
| 9/1/2010 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 117 | |
| 9/1/2010 | QUALIFIED DIVIDEND (COP) | | COP | | | 82.5 | |
| 9/10/2010 | QUALIFIED DIVIDEND (LLY) | | LLY | | | 132.3 | |
| 9/15/2010 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 24.25 | |
| 9/24/2010 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 5 | |
| 10/1/2010 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 10/7/2010 | QUALIFIED DIVIDEND (SKYW) | | SKYW | | | 13.8 | |
| 10/25/2010 | QUALIFIED DIVIDEND (GE) | | GE | | | 56.4 | |
| 11/8/2010 | Sold 800 IMN @ 9.4301 | 800 | IMN | 9.4301 | 9.99 | 7533.96 | 0.13 |
| 11/8/2010 | Bought 250 SKYW @ 15.969 | 250 | SKYW | 15.969 | 9.99 | -4002.24 | |
| 11/8/2010 | Bought 100 EXC @ 41.2999 | 100 | EXC | 41.2999 | 9.99 | -4139.98 | |
| 11/18/2010 | QUALIFIED DIVIDEND (NE) | | NE | | | 33.04 | |
| 11/30/2010 | QUALIFIED DIVIDEND (AET) | | AET | | | 12 | |
| 12/1/2010 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 117 | |
| 12/1/2010 | QUALIFIED DIVIDEND (COP) | | COP | | | 82.5 | |
| 12/10/2010 | QUALIFIED DIVIDEND (LLY) | | LLY | | | 132.3 | |
| 12/10/2010 | QUALIFIED DIVIDEND (EXC) | | EXC | | | 118.13 | |
| 12/15/2010 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 24.25 | |
| 12/27/2010 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 5 | |
| 1/3/2011 | QUALIFIED DIVIDEND (HIG) | | HIG | | | 20 | |
| 1/4/2011 | Sold 595 SKYW @ 15.6 | 595 | SKYW | 15.6 | 9.99 | 9271.85 | 0.16 |

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|-----------|-------------------------------|----------|---------|------|----------|------|
| 1/4/2011 | Bought 500 WFR @ 11.3899 | 500 WFR | 11.3899 | 9.99 | -5704.94 | |
| 1/4/2011 | Bought 300 BAC @ 14.1399 | 300 BAC | 14.1399 | 9.99 | -4251.96 | |
| 1/6/2011 | QUALIFIED DIVIDEND (SKYW) | SKYW | | | 23.8 | |
| 1/12/2011 | Sold 470 GE @ 18.6401 | 470 GE | 18.6401 | 9.99 | 8750.71 | 0.15 |
| 1/12/2011 | Bought 200 AB @ 23.7799 | 200 AB | 23.7799 | 9.99 | -4765.97 | |
| 1/12/2011 | Bought 300 WFR @ 11.8599 | 300 WFR | 11.8599 | 9.99 | -3567.96 | |
| 1/25/2011 | QUALIFIED DIVIDEND (GE) | GE | | | 65.8 | |
| 2/2/2011 | Sold 1000 C @ 4.8401 | 1000 C | 4.8401 | 9.99 | 4830.01 | 0.1 |
| 2/3/2011 | Sold 1100 C @ 4.7901 | 1100 C | 4.7901 | 9.99 | 5259.01 | 0.11 |
| 2/3/2011 | Bought 400 AEO @ 14.8999 | 400 AEO | 14.8999 | 9.99 | -5969.95 | |
| 2/4/2011 | Bought 600 SVU @ 7.7 | 600 SVU | 7.7 | 9.99 | -4629.99 | |
| 2/16/2011 | Sold 700 NOK @ 9.051 | 700 NOK | 9.051 | 9.99 | 6325.58 | 0.13 |
| 2/16/2011 | Bought 100 X @ 63.1699 | 100 X | 63.1699 | 9.99 | -6326.98 | |
| 2/24/2011 | RETURN OF CAPITAL (NE) | NE | | | 34.38 | |
| 3/1/2011 | QUALIFIED DIVIDEND (RRD) | RRD | | | 117 | |
| 3/1/2011 | QUALIFIED DIVIDEND (COP) | COP | | | 99 | |
| 3/3/2011 | PARTNERSHIP DISTRIBUTION (AB) | AB | | | 84 | |
| 3/7/2011 | Sold 600 SVU @ 8.05 | 600 SVU | 8.05 | 9.99 | 4819.91 | 0.1 |
| 3/7/2011 | Bought 150 GGC @ 33.1899 | 150 GGC | 33.1899 | 9.99 | -4988.48 | |
| 3/10/2011 | QUALIFIED DIVIDEND (EXC) | EXC | | | 118.13 | |
| 3/10/2011 | QUALIFIED DIVIDEND (LLY) | LLY | | | 132.3 | |
| 3/15/2011 | QUALIFIED DIVIDEND (SVU) | SVU | | | 52.5 | |
| 3/15/2011 | Sold 225 EXC @ 40.9101 | 225 EXC | 40.9101 | 9.99 | 9194.6 | 0.18 |
| 3/15/2011 | Bought 100 JACK @ 22.6568 | 100 JACK | 22.6568 | 9.99 | -2275.67 | |
| 3/15/2011 | Bought 325 JACK @ 22.659 | 325 JACK | 22.659 | 0 | -7364.18 | |
| 3/16/2011 | QUALIFIED DIVIDEND (VLO) | VLO | | | 24.25 | |
| 3/25/2011 | QUALIFIED DIVIDEND (BAC) | BAC | | | 8 | |
| 4/1/2011 | QUALIFIED DIVIDEND (HIG) | HIG | | | 40 | |
| 4/8/2011 | QUALIFIED DIVIDEND (AEO) | AEO | | | 44 | |
| 4/8/2011 | Sold 400 HIG @ 27.2516 | 400 HIG | 27.2516 | 9.99 | 10890.44 | 0.21 |
| 4/8/2011 | Bought 150 GGC @ 38.6199 | 150 GGC | 38.6199 | 9.99 | -5802.98 | |
| 4/8/2011 | Bought 300 AEO @ 15.9295 | 300 AEO | 15.9295 | 9.99 | -4788.84 | |
| 4/12/2011 | Sold 200 VLO @ 27.1 | 200 VLO | 27.1 | 9.99 | 5409.9 | 0.11 |
| 4/20/2011 | Sold 200 AB @ 21.2736 | 200 AB | 21.2736 | 9.99 | 4244.64 | 0.09 |
| 4/20/2011 | Bought 250 SKX @ 20.0882 | 250 SKX | 20.0882 | 9.99 | -5032.04 | |
| 4/21/2011 | Bought 100 AZN @ 49.9 | 100 AZN | 49.9 | 9.99 | -4999.99 | |
| 4/29/2011 | QUALIFIED DIVIDEND (AET) | AET | | | 45 | |
| 5/19/2011 | RETURN OF CAPITAL (NE) | NE | | | 36.63 | |
| 6/1/2011 | QUALIFIED DIVIDEND (RRD) | RRD | | | 117 | |
| 6/1/2011 | QUALIFIED DIVIDEND (COP) | COP | | | 99 | |
| 6/10/2011 | QUALIFIED DIVIDEND (X) | X | | | 5 | |
| 6/10/2011 | QUALIFIED DIVIDEND (LLY) | LLY | | | 132.3 | |
| 6/15/2011 | QUALIFIED DIVIDEND (VLO) | VLO | | | 14.25 | |
| 6/24/2011 | QUALIFIED DIVIDEND (BAC) | BAC | | | 8 | |
| 7/22/2011 | QUALIFIED DIVIDEND (AEO) | AEO | | | 77 | |
| 7/29/2011 | QUALIFIED DIVIDEND (AET) | AET | | | 45 | |
| 8/11/2011 | Sold 270 LLY @ 34.1304 | 270 LLY | 34.1304 | 9.99 | 9205.04 | 0.18 |
| 8/11/2011 | Bought 550 GGC @ 16.85 | 550 GGC | 16.85 | 9.99 | -9277.49 | |
| 8/18/2011 | RETURN OF CAPITAL (NE) | NE | | | 41.6 | |
| 9/1/2011 | QUALIFIED DIVIDEND (RRD) | RRD | | | 117 | |
| 9/1/2011 | QUALIFIED DIVIDEND (COP) | COP | | | 99 | |
| 9/9/2011 | QUALIFIED DIVIDEND (LLY) | LLY | | | 132.3 | |
| 9/12/2011 | QUALIFIED DIVIDEND (X) | X | | | 5 | |
| 9/12/2011 | QUALIFIED DIVIDEND (AZN) | AZN | | | 85 | |
| 9/14/2011 | QUALIFIED DIVIDEND (VLO) | VLO | | | 14.25 | |
| 9/23/2011 | QUALIFIED DIVIDEND (BAC) | BAC | | | 8 | |
| 10/7/2011 | QUALIFIED DIVIDEND (AEO) | AEO | | | 77 | |

| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
|------------|---|----------|--------|---------|----------|----------|---------|
| 10/28/2011 | QUALIFIED DIVIDEND (AET) | | AET | | | 45 | |
| 11/17/2011 | RETURN OF CAPITAL (NE) | | NE | | | 35.5 | |
| 12/1/2011 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 117 | |
| 12/1/2011 | QUALIFIED DIVIDEND (COP) | | COP | | | 99 | |
| 12/12/2011 | QUALIFIED DIVIDEND (X) | | X | | | 5 | |
| 12/14/2011 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 42.75 | |
| 12/23/2011 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 8 | |
| 12/28/2011 | Bought 60 X @ 25.4 | 60 | X | 25.4 | 9.99 | -1533.99 | |
| | | | | | | | |
| 1/6/2012 | QUALIFIED DIVIDEND (AEO) | | AEO | | | 77 | |
| 1/19/2012 | Sold 200 GGC @ 34.161 | 200 | GGC | 34.161 | 9.99 | 6822.07 | 0.14 |
| 1/20/2012 | Bought 1000 BAC @ 6.89 | 1000 | BAC | 6.89 | 9.99 | -6899.99 | |
| 1/27/2012 | QUALIFIED DIVIDEND (AET) | | AET | | | 52.5 | |
| 2/6/2012 | Sold 100 GGC @ 34.781 | 100 | GGC | 34.781 | 9.99 | 3468.04 | 0.07 |
| 2/6/2012 | Sold 200 GGC @ 34.77 | 200 | GGC | 34.77 | 0 | 6953.86 | 0.14 |
| 2/6/2012 | Bought 1294 CVTI @ 3.61 | 1294 | CVTI | 3.61 | 9.99 | -4681.33 | |
| 2/6/2012 | Bought 575 UFI @ 8.63 | 575 | UFI | 8.63 | 9.99 | -4972.24 | |
| 2/7/2012 | Bought 206 CVTI @ 3.61 | 206 | CVTI | 3.61 | 9.99 | -753.65 | |
| 2/23/2012 | RETURN OF CAPITAL (NE) | | NE | | | 35.67 | |
| 3/1/2012 | QUALIFIED DIVIDEND (RRD) | | RRD | | | 117 | |
| 3/1/2012 | QUALIFIED DIVIDEND (COP) | | COP | | | 99 | |
| 3/5/2012 | Sold 450 RRD @ 13.0001 | 450 | RRD | 13.0001 | 9.99 | 5839.95 | 0.11 |
| 3/5/2012 | Bought 100 SCR @ 8.19 | 100 | SCR | 8.19 | 9.99 | -828.99 | |
| 3/6/2012 | Bought 400 SCR @ 8.19 | 400 | SCR | 8.19 | 9.99 | -3285.99 | |
| 3/12/2012 | QUALIFIED DIVIDEND (X) | | X | | | 8 | |
| 3/14/2012 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 42.75 | |
| 3/19/2012 | Bought 250 SCR @ 8 | 250 | SCR | 8 | 9.99 | -2009.99 | |
| 3/19/2012 | QUALIFIED DIVIDEND (AZN) | | AZN | | | 195 | |
| 3/23/2012 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 18 | |
| 4/9/2012 | QUALIFIED DIVIDEND (AEO) | | AEO | | | 77 | |
| 4/26/2012 | Sold 100 AZN @ 43.25 | 100 | AZN | 43.25 | 9.99 | 4314.91 | 0.1 |
| 4/26/2012 | Bought 1400 CVTI @ 3.4 | 1400 | CVTI | 3.4 | 9.99 | -4769.99 | |
| 4/27/2012 | QUALIFIED DIVIDEND (AET) | | AET | | | 52.5 | |
| 5/1/2012 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 75 | PSX | | | 0 | |
| 5/16/2012 | RETURN OF CAPITAL (NE) | | NE | | | 34.82 | |
| 6/1/2012 | QUALIFIED DIVIDEND (COP) | | COP | | | 99 | |
| 6/11/2012 | QUALIFIED DIVIDEND (X) | | X | | | 8 | |
| 6/20/2012 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 42.75 | |
| 6/21/2012 | ADR FEE (SCR) | | SCR | | | -15 | |
| 6/22/2012 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 18 | |
| 7/10/2012 | QUALIFIED DIVIDEND (GGC) | | GGC | | | 28 | |
| 7/11/2012 | QUALIFIED DIVIDEND (AEO) | | AEO | | | 77 | |
| 7/11/2012 | Sold 50 SKX @ 19.7024 | 50 | SKX | 19.7024 | 9.99 | 975.1 | 0.03 |
| 7/11/2012 | Sold 200 SKX @ 19.701 | 200 | SKX | 19.701 | 0 | 3940.11 | 0.09 |
| 7/11/2012 | Bought 185 GGC @ 27.38 | 185 | GGC | 27.38 | 9.99 | -5075.29 | |
| 7/27/2012 | QUALIFIED DIVIDEND (AET) | | AET | | | 52.5 | |
| 8/6/2012 | Sold 750 SCR @ 7.784 | 750 | SCR | 7.784 | 9.99 | 5827.87 | 0.14 |
| 8/8/2012 | Bought 2500 WFR @ 2.39 | 2500 | WFR | 2.39 | 9.99 | -5984.99 | |
| 8/16/2012 | QUALIFIED DIVIDEND (NE) | | NE | | | 32.5 | |
| 9/4/2012 | QUALIFIED DIVIDEND (PSX) | | PSX | | | 15 | |
| 9/4/2012 | QUALIFIED DIVIDEND (COP) | | COP | | | 99 | |
| 9/10/2012 | QUALIFIED DIVIDEND (X) | | X | | | 8 | |
| 9/12/2012 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 49.88 | |
| 9/28/2012 | QUALIFIED DIVIDEND (BAC) | | BAC | | | 18 | |
| 10/10/2012 | QUALIFIED DIVIDEND (GGC) | | GGC | | | 42.8 | |
| 10/10/2012 | QUALIFIED DIVIDEND (AEO) | | AEO | | | 1050 | |
| 10/10/2012 | QUALIFIED DIVIDEND (AEO) | | AEO | | | 77 | |

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|------------|---|-----------|---------|-------|-----------------|----------|------|
| 10/18/2012 | Sold 700 AEO @ 22.91 | 700 AEO | 22.91 | 9.99 | 16026.65 | 0.36 | |
| 10/22/2012 | Bought 101 SCR @ 7.85 | 101 SCR | 7.85 | 9.99 | -802.84 | | |
| 10/23/2012 | Bought 673 SCR @ 7.85 | 673 SCR | 7.85 | 9.99 | -5293.04 | | |
| 10/24/2012 | Sold 75 PSX @ 45.2 | 75 PSX | 45.2 | 9.99 | 3379.93 | 0.08 | |
| 10/25/2012 | Bought 170 ATK @ 55.6 | 170 ATK | 55.6 | 9.99 | -9461.99 | | |
| 10/26/2012 | QUALIFIED DIVIDEND (AET) | AET | | | 52.5 | | |
| 10/26/2012 | Sold 425 JACK @ 25.74 | 425 JACK | 25.74 | 9.99 | 10929.26 | 0.25 | |
| 10/31/2012 | Bought 100 GGC @ 36.1 | 100 GGC | 36.1 | 9.99 | -3619.99 | | |
| 10/31/2012 | Bought 200 X @ 20.3 | 200 X | 20.3 | 9.99 | -4069.99 | | |
| 11/6/2012 | Bought 110 ATK @ 59.7727 | 110 ATK | 59.7727 | 9.99 | -6584.99 | | |
| 11/9/2012 | Bought 226 SCR @ 7.84 | 226 SCR | 7.84 | 9.99 | -1781.83 | | |
| 11/9/2012 | Sold 250 NE @ 35.82 | 250 NE | 35.82 | 9.99 | 8944.8 | 0.21 | |
| 11/15/2012 | QUALIFIED DIVIDEND (NE) | NE | | | 32.5 | | |
| 11/20/2012 | Sold 1800 BAC @ 9.61 | 1800 BAC | 9.61 | 9.99 | 17287.62 | 0.39 | |
| 11/20/2012 | Bought 3000 WFR @ 2.4999 | 3000 WFR | 2.4999 | 9.99 | -7509.69 | | |
| 11/26/2012 | Bought 200 UHS @ 43.21 | 200 UHS | 43.21 | 9.99 | -8651.99 | | |
| 12/3/2012 | QUALIFIED DIVIDEND (PSX) | PSX | | | 18.75 | | |
| 12/3/2012 | QUALIFIED DIVIDEND (COP) | COP | | | 99 | | |
| 12/7/2012 | Bought 510 OVTI @ 14.8 | 510 OVTI | 14.8 | 9.99 | -7557.99 | | |
| 12/10/2012 | QUALIFIED DIVIDEND (X) | X | | | 18 | | |
| 12/11/2012 | Bought 190 OVTI @ 14.8 | 190 OVTI | 14.8 | 9.99 | -2821.99 | | |
| 12/12/2012 | QUALIFIED DIVIDEND (VLO) | VLO | | | 49.88 | | |
| 12/13/2012 | QUALIFIED DIVIDEND (ATK) | ATK | | | 72.8 | | |
| 12/17/2012 | QUALIFIED DIVIDEND (UHS) | UHS | | | 10 | | |
| 12/28/2012 | QUALIFIED DIVIDEND (GGC) | GGC | | | 50.8 | | |
| 12/28/2012 | QUALIFIED DIVIDEND (UHS) | UHS | | | 80 | | |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI AMOUNT | REG FEE | |
| 1/7/2013 | Sold 2900 CVTI @ 5.53 | 2900 CVTI | | 5.53 | 9.99 | 16026.65 | 0.36 |
| 1/25/2013 | QUALIFIED DIVIDEND (AET) | AET | | | | 60 | |
| 1/29/2013 | MANDATORY - NAME CHANGE (GGC) | 635 GGC | | | | 0 | |
| 1/29/2013 | MANDATORY - NAME CHANGE (AXLL) | 635 AXLL | | | | 0 | |
| 1/29/2013 | Bought 100 BG @ 78.01 | 100 BG | | 78.01 | 9.99 | -7810.99 | |
| 1/29/2013 | Bought 300 TTM @ 28.2 | 300 TTM | | 28.2 | 9.99 | -8469.99 | |
| 3/1/2013 | QUALIFIED DIVIDEND (COP) | COP | | | | 99 | |
| 3/4/2013 | QUALIFIED DIVIDEND (BG) | BG | | | | 27 | |
| 3/8/2013 | QUALIFIED DIVIDEND (X) | X | | | | 18 | |
| 3/8/2013 | Sold 575 UFI @ 16.93 | 575 UFI | | 16.93 | 9.99 | 9724.54 | 0.22 |
| 3/11/2013 | Bought 1000 SCR @ 9.06 | 1000 SCR | | 9.06 | 9.99 | -9069.99 | |
| 3/13/2013 | QUALIFIED DIVIDEND (VLO) | VLO | | | | 57 | |
| 3/15/2013 | QUALIFIED DIVIDEND (UHS) | UHS | | | | 10 | |
| 3/28/2013 | QUALIFIED DIVIDEND (ATK) | ATK | | | | 72.8 | |
| 4/10/2013 | QUALIFIED DIVIDEND (AXLL) | AXLL | | | | 50.8 | |
| 4/15/2013 | ADR FEE (SCR) | SCR | | | | -20 | |
| 4/26/2013 | QUALIFIED DIVIDEND (AET) | AET | | | | 60 | |
| 5/2/2013 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 31 CST | | | | 0 | |
| 6/3/2013 | QUALIFIED DIVIDEND (COP) | COP | | | | 99 | |
| 6/3/2013 | MANDATORY - NAME CHANGE (WFR) | 6300 WFR | | | | 0 | |
| 6/3/2013 | MANDATORY - NAME CHANGE (SUNE) | 6300 SUNE | | | | 0 | |
| 6/3/2013 | QUALIFIED DIVIDEND (BG) | BG | | | | 27 | |
| 6/10/2013 | QUALIFIED DIVIDEND (X) | X | | | | 18 | |
| 6/10/2013 | CASH IN LIEU OF FRACTIONAL SHARES (CST) | CST | | | | 21.13 | |
| 6/11/2013 | Sold 3000 SUNE @ 8.29 | 3000 SUNE | | 8.29 | 9.99 | 24859.57 | 0.44 |
| 6/11/2013 | Bought 400 APOL @ 21.6 | 400 APOL | | 21.6 | 9.99 | -8649.99 | |
| 6/12/2013 | Bought 200 WTW @ 43.51 | 200 WTW | | 43.51 | 9.99 | -8711.99 | |
| 6/12/2013 | Bought 100 HUM @ 80.8 | 100 HUM | | 80.8 | 9.99 | -8089.99 | |
| 6/17/2013 | QUALIFIED DIVIDEND (UHS) | UHS | | | | 10 | |
| 6/19/2013 | QUALIFIED DIVIDEND (VLO) | VLO | | | | 57 | |

| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
|------------|---------------------------|----------|--------|---------|----------|----------|---------|
| 6/27/2013 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 72.8 | |
| 7/10/2013 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 50.8 | |
| 7/12/2013 | QUALIFIED DIVIDEND (WTW) | | WTW | | | 35 | |
| 7/26/2013 | QUALIFIED DIVIDEND (HUM) | | HUM | | | 27 | |
| 7/26/2013 | QUALIFIED DIVIDEND (AET) | | AET | | | 60 | |
| 8/15/2013 | Sold 31 CST @ 31.855 | 31 | CST | 31.855 | 9.99 | 977.5 | 0.02 |
| 8/21/2013 | Sold 1700 SUNE @ 6.701 | 1700 | SUNE | 6.701 | 9.99 | 11381.51 | 0.2 |
| 8/21/2013 | Sold 1600 SUNE @ 6.9 | 1600 | SUNE | 6.9 | 9.99 | 11029.81 | 0.2 |
| 8/26/2013 | Bought 3000 AKS @ 3.5799 | 3000 | AKS | 3.5799 | 9.99 | -10749.7 | |
| 8/26/2013 | Bought 600 APOL @ 18.869 | 600 | APOL | 18.869 | 9.99 | -11331.4 | |
| 8/26/2013 | Bought 55 AXLL @ 41.8199 | 55 | AXLL | 41.8199 | 9.99 | -2310.08 | |
| 9/3/2013 | QUALIFIED DIVIDEND (COP) | | COP | | | 103.5 | |
| 9/3/2013 | QUALIFIED DIVIDEND (TTM) | | TTM | | | 40.03 | |
| 9/3/2013 | QUALIFIED DIVIDEND (BG) | | BG | | | 30 | |
| 9/10/2013 | QUALIFIED DIVIDEND (X) | | X | | | 18 | |
| 9/11/2013 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 64.13 | |
| 9/16/2013 | Sold 2000 SCR @ 9.461 | 2000 | SCR | 9.461 | 9.99 | 18911.68 | 0.33 |
| 9/16/2013 | Bought 2000 AKS @ 3.97 | 2000 | AKS | 3.97 | 9.99 | -7949.99 | |
| 9/17/2013 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 10 | |
| 9/24/2013 | Bought 100 WTW @ 37.35 | 100 | WTW | 37.35 | 9.99 | -3744.99 | |
| 9/26/2013 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 72.8 | |
| 10/10/2013 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 10/11/2013 | QUALIFIED DIVIDEND (WTW) | | WTW | | | 52.5 | |
| 10/25/2013 | QUALIFIED DIVIDEND (HUM) | | HUM | | | 27 | |
| 10/25/2013 | QUALIFIED DIVIDEND (AET) | | AET | | | 60 | |
| 10/31/2013 | Bought 200 WTW @ 34.4 | 200 | WTW | 34.4 | 9.99 | -6889.99 | |
| 11/15/2013 | Sold 300 AET @ 64.871 | 300 | AET | 64.871 | 9.99 | 19450.97 | 0.34 |
| 11/15/2013 | Sold 100 HUM @ 97.5 | 100 | HUM | 97.5 | 9.99 | 9739.84 | 0.17 |
| 11/20/2013 | Bought 300 TTM @ 30.7 | 300 | TTM | 30.7 | 9.99 | -9219.99 | |
| 12/2/2013 | QUALIFIED DIVIDEND (COP) | | COP | | | 103.5 | |
| 12/2/2013 | QUALIFIED DIVIDEND (BG) | | BG | | | 30 | |
| 12/10/2013 | QUALIFIED DIVIDEND (X) | | X | | | 18 | |
| 12/12/2013 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 72.8 | |
| 12/16/2013 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 10 | |
| 12/18/2013 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 64.13 | |
| 12/20/2013 | Bought 900 BLT @ 14.379 | 900 | BLT | 14.379 | 9.99 | -12951.1 | |
| 12/20/2013 | Bought 100 BLT @ 14.3779 | 100 | BLT | 14.3779 | 0 | -1437.79 | |
| 12/20/2013 | Bought 80 BG @ 81.199 | 80 | BG | 81.199 | 9.99 | -6505.91 | |
| 1/6/2014 | Sold 3000 AKS @ 7.7201 | 3000 | AKS | 7.7201 | 9.99 | 23149.9 | 0.41 |
| 1/10/2014 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 1/17/2014 | Bought 200 SWK @ 81.9 | 200 | SWK | 81.9 | 9.99 | -16390 | |
| 1/17/2014 | Bought 100 COP @ 67.7 | 100 | COP | 67.7 | 9.99 | -6779.99 | |
| 1/24/2014 | Sold 2000 AKS @ 6.6 | 2000 | AKS | 6.6 | 9.99 | 13189.78 | 0.23 |
| 1/31/2014 | Bought 400 NTGR @ 32.1 | 400 | NTGR | 32.1 | 9.99 | -12850 | |
| 2/19/2014 | ADR FEE (TTM) | | TTM | | | -12 | |
| 3/3/2014 | QUALIFIED DIVIDEND (COP) | | COP | | | 172.5 | |
| 3/3/2014 | QUALIFIED DIVIDEND (BG) | | BG | | | 54 | |
| 3/10/2014 | QUALIFIED DIVIDEND (X) | | X | | | 18 | |
| 3/12/2014 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 71.25 | |
| 3/17/2014 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 10 | |
| 3/18/2014 | QUALIFIED DIVIDEND (SWK) | | SWK | | | 100 | |
| 3/27/2014 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 89.6 | |
| 4/10/2014 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 5/7/2014 | Sold 360 X @ 25.1901 | 360 | X | 25.1901 | 9.99 | 9058.24 | 0.21 |
| 5/7/2014 | Sold 100 ATK @ 152.87 | 100 | ATK | 152.87 | 9.99 | 15276.67 | 0.34 |
| 5/8/2014 | Bought 75 AWH @ 110.81 | 75 | AWH | 110.81 | 9.99 | -8320.74 | |

| | | | | | | | |
|------------|---|----------|--------|---------|----------|----------|---------|
| 5/8/2014 | Bought 300 CTB @ 27.1 | 300 | CTB | 27.1 | 9.99 | -8139.99 | |
| 5/9/2014 | Bought 150 PNW @ 55.5 | 150 | PNW | 55.5 | 9.99 | -8334.99 | |
| 5/22/2014 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 150 | AWH | | | 0 | |
| 6/2/2014 | QUALIFIED DIVIDEND (COP) | | COP | | | 172.5 | |
| 6/3/2014 | QUALIFIED DIVIDEND (BG) | | BG | | | 54 | |
| 6/16/2014 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 10 | |
| 6/17/2014 | QUALIFIED DIVIDEND (SWK) | | SWK | | | 100 | |
| 6/18/2014 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 71.25 | |
| 6/26/2014 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 57.6 | |
| 6/27/2014 | QUALIFIED DIVIDEND (CTB) | | CTB | | | 31.5 | |
| 7/2/2014 | QUALIFIED DIVIDEND (AWH) | | AWH | | | 50.63 | |
| 7/11/2014 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 8/14/2014 | QUALIFIED DIVIDEND (TTM) | | TTM | | | 84.4 | |
| 9/2/2014 | QUALIFIED DIVIDEND (PNW) | | PNW | | | 85.13 | |
| 9/2/2014 | QUALIFIED DIVIDEND (COP) | | COP | | | 182.5 | |
| 9/2/2014 | QUALIFIED DIVIDEND (BG) | | BG | | | 61.2 | |
| 9/16/2014 | QUALIFIED DIVIDEND (SWK) | | SWK | | | 104 | |
| 9/16/2014 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 20 | |
| 9/17/2014 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 78.38 | |
| 9/25/2014 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 57.6 | |
| 9/26/2014 | QUALIFIED DIVIDEND (CTB) | | CTB | | | 31.5 | |
| 10/2/2014 | QUALIFIED DIVIDEND (AWH) | | AWH | | | 50.63 | |
| 10/10/2014 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 11/13/2014 | OFF-CYCLE INTEREST (ZFD60) | | ZFD60 | | | 0.01 | |
| 12/1/2014 | QUALIFIED DIVIDEND (COP) | | COP | | | 182.5 | |
| 12/1/2014 | QUALIFIED DIVIDEND (PNW) | | PNW | | | 89.25 | |
| 12/2/2014 | QUALIFIED DIVIDEND (BG) | | BG | | | 61.2 | |
| 12/11/2014 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 57.6 | |
| 12/16/2014 | QUALIFIED DIVIDEND (UHS) | | UHS | | | 20 | |
| 12/16/2014 | QUALIFIED DIVIDEND (SWK) | | SWK | | | 104 | |
| 12/17/2014 | QUALIFIED DIVIDEND (VLO) | | VLO | | | 78.38 | |
| 12/18/2014 | Sold 500 WTW @ 27.99 | 500 | WTW | 27.99 | 9.99 | 13984.7 | 0.31 |
| 12/23/2014 | Bought 100 AWH @ 37.6082 | 100 | AWH | 37.6082 | 9.99 | -3770.81 | |
| 12/24/2014 | Bought 200 TEN @ 56.5 | 200 | TEN | 56.5 | 9.99 | -11310 | |
| 12/30/2014 | QUALIFIED DIVIDEND (CTB) | | CTB | | | 31.5 | |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
| 1/2/2015 | QUALIFIED DIVIDEND (AWH) | | AWH | | | 50.63 | |
| 1/12/2015 | QUALIFIED DIVIDEND (AXLL) | | AXLL | | | 110.4 | |
| 1/15/2015 | Sold 1000 BLT @ 15.9432 | 1000 | BLT | 15.9432 | 9.99 | 15932.85 | 0.36 |
| 1/15/2015 | Sold 700 OVTI @ 27.07 | 700 | OVTI | 27.07 | 9.99 | 18938.59 | 0.42 |
| 1/15/2015 | Bought 592 TEO @ 19.6 | 592 | TEO | 19.6 | 9.99 | -11613.2 | |
| 1/16/2015 | Bought 208 TEO @ 19.6 | 208 | TEO | 19.6 | 9.99 | -4086.79 | |
| 1/16/2015 | Bought 360 SKM @ 27.2941 | 360 | SKM | 27.2941 | 9.99 | -9835.87 | |
| 1/16/2015 | Bought 140 SKM @ 27.293 | 140 | SKM | 27.293 | 0 | -3821.02 | |
| 1/20/2015 | Bought 3000 SFY @ 2.25 | 3000 | SFY | 2.25 | 9.99 | -6759.99 | |
| 1/30/2015 | Sold 200 TEN @ 51.5298 | 200 | TEN | 51.5298 | 9.99 | 10295.74 | 0.23 |
| 2/4/2015 | Bought 4500 SFY @ 2.4099 | 4500 | SFY | 2.4099 | 9.99 | -10854.5 | |
| 2/6/2015 | QUALIFIED DIVIDEND (ATK) | | ATK | | | 57.6 | |
| 2/10/2015 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 360 | VSTO | | | 0 | |
| 2/10/2015 | MANDATORY - NAME CHANGE (ATK) | 180 | ATK | | | 0 | |
| 2/10/2015 | MANDATORY - NAME CHANGE (OA) | 180 | OA | | | 0 | |
| 2/13/2015 | ADR FEE (TTM) | | TTM | | | -12 | |
| 2/18/2015 | Sold 200 SWK @ 98.35 | 200 | SWK | 98.35 | 9.99 | 19659.64 | 0.37 |
| 2/18/2015 | Sold 690 AXLL @ 47.1 | 690 | AXLL | 47.1 | 9.99 | 32488.41 | 0.6 |
| 2/18/2015 | Sold 400 NTGR @ 31.75 | 400 | NTGR | 31.75 | 9.99 | 12689.77 | 0.24 |
| 2/19/2015 | Bought 1000 PTEN @ 18.1667 | 1000 | PTEN | 18.1667 | 9.99 | -18176.7 | |
| 2/19/2015 | Bought 15 NVR @ 1347 | 15 | NVR | 1347 | 9.99 | -20215 | |

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|-----------|---|-------------|---------|------|----------|------|
| 2/23/2015 | Sold 360 VSTO @ 44.75 | 360 VSTO | 44.75 | 9.99 | 16099.71 | 0.3 |
| 2/24/2015 | Bought 2300 FUEL @ 10.05 | 2300 FUEL | 10.05 | 9.99 | -23125 | |
| 2/24/2015 | Bought 3000 INWK @ 6.35 | 3000 INWK | 6.35 | 9.99 | -19060 | |
| 2/26/2015 | Sold 150 PNW @ 64.5438 | 150 PNW | 64.5438 | 9.99 | 9671.4 | 0.18 |
| 2/27/2015 | Bought 565 FI @ 18.25 | 565 FI | 18.25 | 9.99 | -10321.2 | |
| 3/2/2015 | QUALIFIED DIVIDEND (COP) | COP | | | 182.5 | |
| 3/2/2015 | QUALIFIED DIVIDEND (PNW) | PNW | | | 89.25 | |
| 3/2/2015 | QUALIFIED DIVIDEND (BG) | BG | | | 61.2 | |
| 3/3/2015 | QUALIFIED DIVIDEND (VLO) | VLO | | | 114 | |
| 3/16/2015 | QUALIFIED DIVIDEND (UHS) | UHS | | | 20 | |
| 3/18/2015 | QUALIFIED DIVIDEND (FI) | FI | | | 84.75 | |
| 3/18/2015 | FOREIGN TAX WITHHELD (FI) | FI | | | -12.71 | |
| 3/25/2015 | QUALIFIED DIVIDEND (PTEN) | PTEN | | | 100 | |
| 3/27/2015 | QUALIFIED DIVIDEND (CTB) | CTB | | | 31.5 | |
| 3/27/2015 | Sold 1000 APOL @ 19.1638 | 1000 APOL | 19.1638 | 9.99 | 19153.45 | 0.36 |
| 3/27/2015 | Bought 200 DGX @ 75.7824 | 200 DGX | 75.7824 | 9.99 | -15166.5 | |
| 3/27/2015 | Sold 7500 SFY @ 2.2101 | 7500 SFY | 2.2101 | 9.99 | 16565.45 | 0.31 |
| 3/27/2015 | Bought 150 AWH @ 40.1594 | 150 AWH | 40.1594 | 9.99 | -6033.9 | |
| 3/27/2015 | Bought 1000 ABTL @ 14.8411 | 1000 ABTL | 14.8411 | 9.99 | -14851.1 | |
| 3/30/2015 | Sold 285 VLO @ 62.31 | 285 VLO | 62.31 | 9.99 | 17748.03 | 0.33 |
| 3/30/2015 | Bought 5000 VTNR @ 3.5443 | 5000 VTNR | 3.5443 | 9.99 | -17731.5 | |
| 4/2/2015 | QUALIFIED DIVIDEND (AWH) | AWH | | | 73.13 | |
| 4/10/2015 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 33 TTM RTWI | | | 0 | |
| 4/13/2015 | CASH IN LIEU OF FRACTIONAL SHARES (TTM.R) | TTM.R | | | 0.25 | |
| 4/22/2015 | QUALIFIED DIVIDEND (DGX) | DGX | | | 76 | |
| 5/4/2015 | Sold 1000 ABTL @ 14.45 | 1000 ABTL | 14.45 | 9.99 | 14439.74 | 0.27 |
| 5/5/2015 | Bought 100 FI @ 21.24 | 100 FI | 21.24 | 9.99 | -2133.99 | |
| 5/6/2015 | Sold 300 TTM @ 40.1538 | 300 TTM | 40.1538 | 9.99 | 12035.92 | 0.23 |
| 5/6/2015 | Sold 200 UHS @ 116.6 | 200 UHS | 116.6 | 9.99 | 23309.58 | 0.43 |
| 5/6/2015 | Bought 300 FI @ 20.7498 | 300 FI | 20.7498 | 9.99 | -6234.93 | |
| 5/6/2015 | Bought 1600 SBGL @ 7.7064 | 1600 SBGL | 7.7064 | 9.99 | -12340.2 | |
| 5/6/2015 | Bought 270 IBA @ 55.1 | 270 IBA | 55.1 | 9.99 | -14887 | |
| 5/8/2015 | Bought 225 POPE @ 64.75 | 225 POPE | 64.75 | 9.99 | -14578.7 | |
| 5/18/2015 | QUALIFIED DIVIDEND (TEO) | TEO | | | 371.86 | |
| 5/18/2015 | FOREIGN TAX WITHHELD (TEO) | TEO | | | -69.66 | |
| 5/26/2015 | QUALIFIED DIVIDEND (IBA) | IBA | | | 155.29 | |
| 5/27/2015 | Sold 180 BG @ 91.05 | 180 BG | 91.05 | 9.99 | 16378.7 | 0.31 |
| 5/28/2015 | REMOVAL OF EXPIRED RIGHTS (876568122) | 33 | | | 0 | |
| 6/1/2015 | QUALIFIED DIVIDEND (COP) | COP | | | 182.5 | |
| 6/2/2015 | QUALIFIED DIVIDEND (BG) | BG | | | 61.2 | |
| 6/9/2015 | Bought 200 KOF @ 80.45 | 200 KOF | 80.45 | 9.99 | -16100 | |
| 6/11/2015 | PARTNERSHIP DISTRIBUTION (POPE) | POPE | | | 146.25 | |
| 6/16/2015 | Sold 1000 PTEN @ 20.8143 | 1000 PTEN | 20.8143 | 9.99 | 20803.92 | 0.39 |
| 6/16/2015 | Bought 147 TFM @ 32.23 | 147 TFM | 32.23 | 9.99 | -4747.8 | |
| 6/16/2015 | Bought 453 TFM @ 32.2272 | 453 TFM | 32.2272 | 0 | -14598.9 | |
| 6/16/2015 | Bought 900 VTNR @ 3 | 900 VTNR | 3 | 9.99 | -2709.99 | |
| 6/19/2015 | QUALIFIED DIVIDEND (FI) | FI | | | 144.75 | |
| 6/19/2015 | FOREIGN TAX WITHHELD (FI) | FI | | | -21.71 | |
| 6/24/2015 | QUALIFIED DIVIDEND (PTEN) | PTEN | | | 100 | |
| 6/25/2015 | QUALIFIED DIVIDEND (OA) | OA | | | 46.8 | |
| 6/29/2015 | QUALIFIED DIVIDEND (CTB) | CTB | | | 31.5 | |
| 7/2/2015 | QUALIFIED DIVIDEND (AWH) | AWH | | | 123.5 | |
| 7/15/2015 | Sold 250 COP @ 59.0121 | 250 COP | 59.0121 | 9.99 | 14742.76 | 0.28 |
| 7/15/2015 | Sold 300 CTB @ 33.91 | 300 CTB | 33.91 | 9.99 | 10162.82 | 0.19 |
| 7/16/2015 | Sold 300 TTM @ 31.25 | 300 TTM | 31.25 | 9.99 | 9364.83 | 0.18 |
| 7/16/2015 | Bought 400 UHT @ 49.16 | 400 UHT | 49.16 | 9.99 | -19674 | |
| 7/20/2015 | Bought 1700 TLF @ 8.3813 | 1700 TLF | 8.3813 | 9.99 | -14258.2 | |

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|------------|---------------------------------|-----------|--------|----------|-----------------|---------|
| 7/20/2015 | QUALIFIED DIVIDEND (IBA) | IBA | | | 148.45 | |
| 7/22/2015 | QUALIFIED DIVIDEND (DGX) | DGX | | | 76 | |
| 8/13/2015 | ORDINARY DIVIDEND (IBA) | IBA | | | 5.4 | |
| 8/19/2015 | QUALIFIED DIVIDEND (SKM) | SKM | | | 34.73 | |
| 8/19/2015 | FOREIGN TAX WITHHELD (SKM) | SKM | | | -7.64 | |
| 9/3/2015 | PARTNERSHIP DISTRIBUTION (POPE) | POPE | | | 157.5 | |
| 9/14/2015 | Sold 475 AWH @ 38.93 | 475 AWH | 38.93 | 9.99 | 18481.41 | 0.35 |
| 9/15/2015 | Bought 1400 SBGL @ 5.05 | 1400 SBGL | 5.05 | 9.99 | -7079.99 | |
| 9/17/2015 | QUALIFIED DIVIDEND (SBGL) | SBGL | | | 40.35 | |
| 9/17/2015 | FOREIGN TAX WITHHELD (SBGL) | SBGL | | | -6.05 | |
| 9/18/2015 | QUALIFIED DIVIDEND (FI) | FI | | | 144.75 | |
| 9/18/2015 | FOREIGN TAX WITHHELD (FI) | FI | | | -21.71 | |
| 9/18/2015 | Bought 450 MGRC @ 26.31 | 450 MGRC | 26.31 | 9.99 | -11849.5 | |
| 9/24/2015 | QUALIFIED DIVIDEND (OA) | OA | | | 46.8 | |
| 9/30/2015 | ORDINARY DIVIDEND (UHT) | UHT | | | 256 | |
| 10/9/2015 | Sold 1 NVR @ 1575 | 1 NVR | 1575 | 9.99 | 1564.98 | 0.03 |
| 10/12/2015 | Sold 4 NVR @ 1575 | 4 NVR | 1575 | 9.99 | 6289.89 | 0.12 |
| 10/14/2015 | Bought 1146 TLF @ 7.3 | 1146 TLF | 7.3 | 9.99 | -8375.79 | |
| 10/14/2015 | Bought 54 TLF @ 7.28 | 54 TLF | 7.28 | 0 | -393.12 | |
| 10/21/2015 | QUALIFIED DIVIDEND (DGX) | DGX | | | 76 | |
| 10/30/2015 | QUALIFIED DIVIDEND (MGRC) | MGRC | | | 112.5 | |
| 11/13/2015 | QUALIFIED DIVIDEND (KOF) | KOF | | | 186.44 | |
| 11/30/2015 | Sold 800 TEO @ 17.31 | 800 TEO | 17.31 | 9.99 | 13837.75 | 0.26 |
| 11/30/2015 | Bought 130 IBA @ 50.236 | 130 IBA | 50.236 | 9.99 | -6540.67 | |
| 12/2/2015 | Bought 120 POPE @ 64.75 | 120 POPE | 64.75 | 9.99 | -7779.99 | |
| 12/7/2015 | Sold 600 TFM @ 24.38 | 600 TFM | 24.38 | 9.99 | 14617.74 | 0.27 |
| 12/7/2015 | Sold 965 FI @ 15.28 | 965 FI | 15.28 | 9.99 | 14734.93 | 0.28 |
| 12/9/2015 | PARTNERSHIP DISTRIBUTION (POPE) | POPE | | | 157.5 | |
| 12/10/2015 | QUALIFIED DIVIDEND (OA) | OA | | | 46.8 | |
| 12/15/2015 | QUALIFIED DIVIDEND (FI) | FI | | | 144.75 | |
| 12/15/2015 | FOREIGN TAX WITHHELD (FI) | FI | | | -21.71 | |
| 12/15/2015 | Bought 1309 DRD @ 1.48 | 1309 DRD | 1.48 | 9.99 | -1947.31 | |
| 12/16/2015 | Bought 190 DRD @ 1.48 | 190 DRD | 1.48 | 9.99 | -291.19 | |
| 12/16/2015 | Sold 450 MGRC @ 26.1 | 450 MGRC | 26.1 | 9.99 | 11734.79 | 0.22 |
| 12/17/2015 | Bought 6501 DRD @ 1.48 | 6501 DRD | 1.48 | 9.99 | -9631.47 | |
| 12/17/2015 | Bought 150 IBA @ 48.1 | 150 IBA | 48.1 | 9.99 | -7224.99 | |
| 12/17/2015 | Bought 100 DGX @ 69.1 | 100 DGX | 69.1 | 9.99 | -6919.99 | |
| 12/21/2015 | Bought 5500 DRD @ 1.48 | 5500 DRD | 1.48 | 9.99 | -8149.99 | |
| 12/21/2015 | Bought 85 KOF @ 72.59 | 85 KOF | 72.59 | 9.99 | -6180.14 | |
| 12/31/2015 | ORDINARY DIVIDEND (UHT) | UHT | | | 258 | |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI AMOUNT | REG FEE |
| 1/4/2016 | Sold 500 SKM @ 19.7222 | 500 | SKM | 19.7222 | 9.99 9850.92 | 0.19 |
| 1/4/2016 | Bought 1500 SBGL @ 6.19 | 1500 | SBGL | 6.19 | 9.99 -9294.99 | |
| 1/22/2016 | Sold 400 UHT @ 48.7 | 400 | UHT | 48.7 | 9.99 19469.65 | 0.36 |
| 1/25/2016 | Bought 2600 EGAS @ 8.09 | 2600 | EGAS | 8.09 | 9.99 -21044 | |
| 1/27/2016 | QUALIFIED DIVIDEND (DGX) | | DGX | | 114 | |
| 2/2/2016 | Sold 300 DGX @ 64.1 | 300 | DGX | 64.1 | 9.99 19219.65 | 0.36 |
| 2/2/2016 | Bought 250 DG @ 76.19 | 250 | DG | 76.19 | 9.99 -19057.5 | |
| 2/8/2016 | Sold 145 POPE @ 61.0129 | 145 | POPE | 61.0129 | 9.99 8836.71 | 0.17 |
| 2/8/2016 | Sold 200 POPE @ 60.975 | 200 | POPE | 60.975 | 0 12194.77 | 0.23 |
| 2/8/2016 | Bought 8300 HMY @ 2.42 | 8300 | HMY | 2.42 | 9.99 -20096 | |
| 2/8/2016 | Bought 700 HMY @ 2.4199 | 700 | HMY | 2.4199 | 0 -1693.93 | |
| 2/19/2016 | Sold 5 NVR @ 1589.019 | 5 | NVR | 1589.019 | 9.99 7934.93 | 0.18 |
| 2/19/2016 | Sold 1 NVR @ 1582.539 | 1 | NVR | 1582.539 | 0 1582.5 | 0.04 |
| 2/19/2016 | Sold 4 NVR @ 1580 | 4 | NVR | 1580 | 0 6319.86 | 0.14 |
| 2/19/2016 | Bought 2000 INWK @ 6.95 | 2000 | INWK | 6.95 | 9.99 -13910 | |
| 3/1/2016 | Sold 5000 INWK @ 6.8401 | 5000 | INWK | 6.8401 | 9.99 34189.76 | 0.75 |

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|-----------|-----------------------------|-----------|---------|------|----------|------|
| 3/1/2016 | Sold 98 VTNR @ 1.4901 | 98 VTNR | 1.4901 | 9.99 | 136.03 | 0.01 |
| 3/1/2016 | Sold 5802 VTNR @ 1.49 | 5802 VTNR | 1.49 | 0 | 8644.79 | 0.19 |
| 3/1/2016 | Sold 1900 FUEL @ 3.5001 | 1900 FUEL | 3.5001 | 9.99 | 6640.05 | 0.15 |
| 3/1/2016 | Sold 400 FUEL @ 3.5 | 400 FUEL | 3.5 | 0 | 1399.96 | 0.04 |
| 3/1/2016 | Bought 120 OA @ 78.0477 | 120 OA | 78.0477 | 9.99 | -9375.72 | |
| 3/1/2016 | Sold 2900 TLF @ 6.94 | 2900 TLF | 6.94 | 9.99 | 20115.57 | 0.44 |
| 3/1/2016 | Bought 200 JNJ @ 107.05 | 200 JNJ | 107.05 | 9.99 | -21420 | |
| 3/2/2016 | Bought 1200 EGAS @ 8.1 | 1200 EGAS | 8.1 | 9.99 | -9729.99 | |
| 3/2/2016 | Bought 400 CALM @ 53.8 | 400 CALM | 53.8 | 9.99 | -21530 | |
| 3/2/2016 | Bought 145 KOF @ 75.68 | 145 KOF | 75.68 | 9.99 | -10983.6 | |
| 3/9/2016 | Sold 8600 HMY @ 3.1202 | 8600 HMY | 3.1202 | 9.99 | 26823.57 | 0.59 |
| 3/9/2016 | Sold 400 HMY @ 3.1201 | 400 HMY | 3.1201 | 0 | 1248.01 | 0.03 |
| 3/9/2016 | Bought 140 IBA @ 50.35 | 140 IBA | 50.35 | 9.99 | -7058.99 | |
| 3/14/2016 | Bought 360 NVO @ 57.3 | 360 NVO | 57.3 | 9.99 | -20638 | |
| 3/14/2016 | Sold 2500 SBGL @ 13.8701 | 2500 SBGL | 13.8701 | 9.99 | 34664.5 | 0.76 |
| 3/14/2016 | Bought 200 IBA @ 50.25 | 200 IBA | 50.25 | 9.99 | -10060 | |
| 3/14/2016 | Bought 10 IBA @ 50.2499 | 10 IBA | 50.2499 | 0 | -502.5 | |
| 3/16/2016 | Bought 90 ORLY @ 270.068 | 90 ORLY | 270.068 | 9.99 | -24316.1 | |
| 3/24/2016 | QUALIFIED DIVIDEND (OA) | OA | | | 90 | |
| 3/30/2016 | QUALIFIED DIVIDEND (NVO) | NVO | | | 344.93 | |
| 3/30/2016 | FOREIGN TAX WITHHELD (NVO) | NVO | | | -93.13 | |
| 4/1/2016 | QUALIFIED DIVIDEND (DRD) | DRD | | | 995.58 | |
| 4/1/2016 | FOREIGN TAX WITHHELD (DRD) | DRD | | | -149.34 | |
| 4/1/2016 | QUALIFIED DIVIDEND (SBGL) | SBGL | | | 413.56 | |
| 4/1/2016 | FOREIGN TAX WITHHELD (SBGL) | SBGL | | | -62.03 | |
| 4/12/2016 | QUALIFIED DIVIDEND (DG) | DG | | | 62.5 | |
| 4/19/2016 | QUALIFIED DIVIDEND (SKM) | SKM | | | 435.42 | |
| 4/19/2016 | ADR FEE (SKM) | SKM | | | -10 | |
| 4/19/2016 | FOREIGN TAX WITHHELD (SKM) | SKM | | | -95.79 | |
| 4/27/2016 | QUALIFIED DIVIDEND (EGAS) | EGAS | | | 285 | |
| 5/12/2016 | QUALIFIED DIVIDEND (CALM) | CALM | | | 176.4 | |
| 5/13/2016 | QUALIFIED DIVIDEND (KOF) | KOF | | | 403.47 | |
| 5/23/2016 | Sold 400 CALM @ 45.6 | 400 CALM | 45.6 | 9.99 | 18229.61 | 0.4 |
| 5/23/2016 | QUALIFIED DIVIDEND (IBA) | IBA | | | 387.95 | |
| 5/23/2016 | ADR FEE (IBA) | IBA | | | -18 | |
| 5/24/2016 | Sold 250 DG @ 81.31 | 250 DG | 81.31 | 9.99 | 20317.06 | 0.45 |
| 5/24/2016 | Sold 360 NVO @ 54.2 | 360 NVO | 54.2 | 9.99 | 19501.58 | 0.43 |
| 5/24/2016 | Bought 1000 SWHC @ 23.668 | 1000 SWHC | 23.668 | 9.99 | -23678 | |
| 5/25/2016 | Bought 25 GOOG @ 721 | 25 GOOG | 721 | 9.99 | -18035 | |
| 5/25/2016 | Bought 80 TATT @ 6.95 | 80 TATT | 6.95 | 9.99 | -565.99 | |
| 5/26/2016 | Bought 662 TATT @ 6.95 | 662 TATT | 6.95 | 9.99 | -4610.89 | |
| 5/31/2016 | Bought 1200 TATT @ 6.95 | 1200 TATT | 6.95 | 9.99 | -8349.99 | |
| 5/31/2016 | Sold 2000 SBGL @ 11.6 | 2000 SBGL | 11.6 | 9.99 | 23189.5 | 0.51 |
| 5/31/2016 | Bought 700 FN @ 35.3499 | 700 FN | 35.3499 | 9.99 | -24754.9 | |
| 6/3/2016 | Bought 558 TATT @ 6.95 | 558 TATT | 6.95 | 9.99 | -3888.09 | |
| 6/7/2016 | QUALIFIED DIVIDEND (JNJ) | JNJ | | | 160 | |
| 6/9/2016 | QUALIFIED DIVIDEND (DRD) | DRD | | | 3253.92 | |
| 6/9/2016 | ADR FEE (DRD) | DRD | | | -135 | |
| 6/9/2016 | FOREIGN TAX WITHHELD (DRD) | DRD | | | -488.09 | |
| 6/13/2016 | Sold 495 EGAS @ 7 | 495 EGAS | 7 | 9.99 | 3454.93 | 0.08 |
| 6/13/2016 | Sold 3250 DRD @ 5.4 | 3250 DRD | 5.4 | 9.99 | 17539.62 | 0.39 |
| 6/14/2016 | Bought 2000 SBGL @ 11.8 | 2000 SBGL | 11.8 | 9.99 | -23610 | |
| 6/15/2016 | Sold 3305 EGAS @ 7 | 3305 EGAS | 7 | 9.99 | 23124.5 | 0.51 |
| 6/15/2016 | Sold 3750 DRD @ 5.4 | 3750 DRD | 5.4 | 9.99 | 20239.56 | 0.45 |
| 6/15/2016 | Bought 500 PEG @ 44.3999 | 500 PEG | 44.3999 | 9.99 | -22209.9 | |
| 6/16/2016 | Bought 1700 SBGL @ 12.73 | 1700 SBGL | 12.73 | 9.99 | -21651 | |
| 6/23/2016 | QUALIFIED DIVIDEND (OA) | OA | | | 90 | |

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|------------|---|----------|------------|----------|-------|-----------------|--|---------|
| 7/18/2016 | QUALIFIED DIVIDEND (IBA) | | IBA | | | 376.84 | | |
| 8/9/2016 | QUALIFIED DIVIDEND (TATT) | | TATT | | | 849.53 | | |
| 8/9/2016 | FOREIGN TAX WITHHELD (TATT) | | TATT | | | -212.38 | | |
| 8/22/2016 | Sold 300 OA @ 73.5 | 300 | OA | 73.5 | 9.99 | 22039.53 | | 0.48 |
| 8/23/2016 | Bought 530 CALM @ 43.82 | 530 | CALM | 43.82 | 9.99 | -23234.6 | | |
| 8/30/2016 | Sold 430 KOF @ 75.96 | 430 | KOF | 75.96 | 9.99 | 32652.1 | | 0.71 |
| 8/30/2016 | Sold 90 ORLY @ 280.41 | 90 | ORLY | 280.41 | 9.99 | 25226.36 | | 0.55 |
| 8/30/2016 | Bought 1400 FRAN @ 13.775 | 1400 | FRAN | 13.775 | 9.99 | -19295 | | |
| 8/30/2016 | Bought 3000 HNRG @ 6.5399 | 3000 | HNRG | 6.5399 | 9.99 | -19629.7 | | |
| 8/30/2016 | Bought 1000 BPT @ 17.6 | 1000 | BPT | 17.6 | 9.99 | -17610 | | |
| 9/1/2016 | Sold 200 JNJ @ 118.79 | 200 | JNJ | 118.79 | 9.99 | 23747.49 | | 0.52 |
| 9/1/2016 | Sold 25 GOOG @ 766.3805 | 25 | GOOG | 766.3805 | 9.99 | 19149.1 | | 0.42 |
| 9/2/2016 | Bought 2500 JAKK @ 9.28 | 2500 | JAKK | 9.28 | 9.99 | -23210 | | |
| 9/2/2016 | Bought 100 BPT @ 17.28 | 100 | BPT | 17.28 | 9.99 | -1737.99 | | |
| 9/2/2016 | Bought 600 DRD @ 5.409 | 600 | DRD | 5.409 | 9.99 | -3255.39 | | |
| 9/2/2016 | Bought 100 DRD @ 5.405 | 100 | DRD | 5.405 | 0 | -540.5 | | |
| 9/6/2016 | QUALIFIED DIVIDEND (JNJ) | | JNJ | | | 160 | | |
| 9/6/2016 | Bought 900 BPT @ 17.6765 | 900 | BPT | 17.6765 | 9.99 | -15918.8 | | |
| 9/30/2016 | QUALIFIED DIVIDEND (PEG) | | PEG | | | 205 | | |
| 10/6/2016 | QUALIFIED DIVIDEND (SBGL) | | SBGL | | | 919.12 | | |
| 10/6/2016 | ADR FEE (SBGL) | | SBGL | | | -93.75 | | |
| 10/6/2016 | FOREIGN TAX WITHHELD (SBGL) | | SBGL | | | -137.87 | | |
| 10/20/2016 | NATURAL RESOURCE ROYALTIES (BPT) | | BPT | | | 1358.59 | | |
| 10/27/2016 | QUALIFIED DIVIDEND (DRD) | | DRD | | | 616.88 | | |
| 10/27/2016 | ADR FEE (DRD) | | DRD | | | -37.01 | | |
| 10/27/2016 | FOREIGN TAX WITHHELD (DRD) | | DRD | | | -92.53 | | |
| 11/18/2016 | QUALIFIED DIVIDEND (HNRG) | | HNRG | | | 120 | | |
| 11/21/2016 | Sold 2500 JAKK @ 6.9001 | 2500 | JAKK | 6.9001 | 9.99 | 17239.88 | | 0.38 |
| 11/21/2016 | Bought 850 ARLP @ 23.65 | 850 | ARLP | 23.65 | 9.99 | -20112.5 | | |
| 11/30/2016 | Sold 400 IBA @ 46.95 | 400 | IBA | 46.95 | 9.99 | 18769.6 | | 0.41 |
| 12/1/2016 | Sold 700 FN @ 42.6 | 700 | FN | 42.6 | 9.99 | 29809.36 | | 0.65 |
| 12/1/2016 | Bought 300 URBN @ 32.37 | 300 | URBN | 32.37 | 9.99 | -9720.99 | | |
| 12/1/2016 | Bought 450 ARLP @ 23.75 | 450 | ARLP | 23.75 | 9.99 | -10697.5 | | |
| 12/1/2016 | Bought 2000 TAX @ 12.45 | 2000 | TAX | 12.45 | 9.99 | -24910 | | |
| 12/1/2016 | Bought 100 URBN @ 31.71 | 100 | URBN | 31.71 | 9.99 | -3180.99 | | |
| 12/20/2016 | Sold 492 HNRG @ 9.0601 | 492 | HNRG | 9.0601 | 9.99 | 4447.48 | | 0.1 |
| 12/20/2016 | Sold 400 HNRG @ 9.06 | 400 | HNRG | 9.06 | 0 | 3623.92 | | 0.08 |
| 12/20/2016 | Sold 600 HNRG @ 9.04 | 600 | HNRG | 9.04 | 0 | 5423.89 | | 0.11 |
| 12/20/2016 | Sold 1508 HNRG @ 9.01 | 1508 | HNRG | 9.01 | 0 | 13586.78 | | 0.3 |
| 12/29/2016 | Sold 1000 BPT @ 24.8 | 1000 | BPT | 24.8 | 9.99 | 24789.47 | | 0.54 |
| 12/30/2016 | QUALIFIED DIVIDEND (PEG) | | PEG | | | 205 | | |
| 12/30/2016 | Bought 390 SBGL @ 7.465 | 390 | SBGL | 7.465 | 9.99 | -2921.34 | | |
| 12/30/2016 | Bought 3610 SBGL @ 7.4642 | 3610 | SBGL | 7.4642 | 0 | -26945.8 | | |
| 12/30/2016 | Bought 10 CAT Feb 17 2017 87.5 Put @ 1.97 | 10 | CAT Feb 17 | 1.97 | 17.49 | -1987.73 | | 0.24 |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | | COMMISSI AMOUNT | | REG FEE |
| 1/3/2017 | MANDATORY - NAME CHANGE (SWHC) | 1000 | SWHC | | | 0 | | |
| 1/3/2017 | MANDATORY - NAME CHANGE (AOBC) | 1000 | AOBC | | | 0 | | |
| 1/3/2017 | Sold 1000 AOBC @ 21.463 | 1000 | AOBC | 21.463 | 9.99 | 21452.54 | | 0.47 |
| 1/3/2017 | Bought 1400 PARR @ 14.75 | 1400 | PARR | 14.75 | 9.99 | -20660 | | |
| 1/3/2017 | Bought 500 RCKY @ 11.55 | 500 | RCKY | 11.55 | 9.99 | -5784.99 | | |
| 1/4/2017 | Bought 1300 RCKY @ 11.685 | 1300 | RCKY | 11.685 | 9.99 | -15200.5 | | |
| 1/20/2017 | NATURAL RESOURCE ROYALTIES (BPT) | | BPT | | | 994.25 | | |
| 1/23/2017 | QUALIFIED DIVIDEND (TAX) | | TAX | | | 320 | | |
| 2/6/2017 | Sold 530 CALM @ 40.274 | 530 | CALM | 40.274 | 9.99 | 21334.76 | | 0.47 |
| 2/6/2017 | Bought 1200 WMMVY @ 18.6 | 1200 | WMMVY | 18.6 | 9.99 | -22330 | | |
| 2/8/2017 | Sold 500 PEG @ 43.705 | 500 | PEG | 43.705 | 9.99 | 21842.03 | | 0.48 |
| 2/10/2017 | Bought 200 URBN @ 27.23 | 200 | URBN | 27.23 | 9.99 | -5455.99 | | |

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|-----------|---|-----------------------------|--------|------|----------|------|
| 2/10/2017 | Bought 100 URBN @ 27.229 | 100 URBN | 27.229 | 0 | -2722.9 | |
| 2/10/2017 | Bought 300 URBN @ 27.22 | 300 URBN | 27.22 | 0 | -8166 | |
| 2/14/2017 | PARTNERSHIP DISTRIBUTION (ARLP) | ARLP | | | 568.75 | |
| 2/16/2017 | Sold 3500 DRD @ 6.47 | 3500 DRD | 6.47 | 9.99 | 22634.52 | 0.49 |
| 2/16/2017 | Bought 2500 SGU @ 10.42 | 2500 SGU | 10.42 | 9.99 | -26060 | |
| 2/21/2017 | REMOVAL OF OPTION DUE TO EXPIRATION (CAT) | 10 CAT Feb 17 2017 87.5 Put | | | 0 | |
| 2/23/2017 | Bought 60 IBA @ 48.9 | 60 IBA | 48.9 | 9.99 | -2943.99 | |
| 2/28/2017 | Sold 3000 SBGL @ 8.2306 | 3000 SBGL | 8.2306 | 9.99 | 24681.27 | 0.54 |
| 2/28/2017 | Bought 5700 FRSH @ 4.32 | 5700 FRSH | 4.32 | 9.99 | -24634 | |
| 3/2/2017 | Sold 1000 BPT @ 18.95 | 1000 BPT | 18.95 | 9.99 | 18939.6 | 0.41 |
| 3/3/2017 | QUALIFIED DIVIDEND (WMMVY) | WMMVY | | | 85.07 | |
| 3/3/2017 | FOREIGN TAX WITHHELD (WMMVY) | WMMVY | | | -8.51 | |
| 3/3/2017 | Sold 1400 FRAN @ 16.81 | 1400 FRAN | 16.81 | 9.99 | 23523.5 | 0.51 |
| 3/3/2017 | Bought 1000 WLKP @ 25.9 | 1000 WLKP | 25.9 | 9.99 | -25910 | |
| 3/7/2017 | Bought 1000 TAX @ 14.15 | 1000 TAX | 14.15 | 6.95 | -14157 | |
| 3/10/2017 | QUALIFIED DIVIDEND (RCKY) | RCKY | | | 198 | |
| 3/10/2017 | Bought 55 IBA @ 50.25 | 55 IBA | 50.25 | 6.95 | -2770.7 | |
| 3/23/2017 | Sold 1500 TAX @ 14.3 | 1500 TAX | 14.3 | 6.95 | 21442.58 | 0.47 |
| 3/23/2017 | Bought 700 PARR @ 15.93 | 700 PARR | 15.93 | 6.95 | -11158 | |
| 3/24/2017 | Bought 100 RCKY @ 11.1 | 100 RCKY | 11.1 | 6.95 | -1116.95 | |
| 3/29/2017 | Bought 50 RCKY @ 11.1 | 50 RCKY | 11.1 | 6.95 | -561.95 | |
| 3/30/2017 | Bought 139 RCKY @ 11.1 | 139 RCKY | 11.1 | 6.95 | -1549.85 | |
| 3/31/2017 | Sold 1500 SBGL @ 8.7 | 1500 SBGL | 8.7 | 6.95 | 13042.77 | 0.28 |
| 3/31/2017 | Bought 611 RCKY @ 11.1 | 611 RCKY | 11.1 | 6.95 | -6789.05 | |
| 4/6/2017 | Bought 1000 SGU @ 9.152 | 1000 SGU | 9.152 | 6.95 | -9158.95 | |
| 4/12/2017 | Bought 100 WLKP @ 24.9 | 100 WLKP | 24.9 | 6.95 | -2496.95 | |
| 4/12/2017 | Bought 70 WLKP @ 24.85 | 70 WLKP | 24.85 | 0 | -1739.5 | |
| 4/20/2017 | QUALIFIED DIVIDEND (SBGL) | SBGL | | | 551.56 | |
| 4/20/2017 | FOREIGN TAX WITHHELD (SBGL) | SBGL | | | -110.31 | |
| 4/24/2017 | QUALIFIED DIVIDEND (TAX) | TAX | | | 240 | |
| 4/26/2017 | Sold 615 IBA @ 51.85 | 615 IBA | 51.85 | 6.95 | 31880.1 | 0.7 |
| 4/26/2017 | Sold 54 WMMVY @ 21.97 | 54 WMMVY | 21.97 | 6.95 | 1179.41 | 0.02 |
| 4/26/2017 | Sold 1146 WMMVY @ 21.95 | 1146 WMMVY | 21.95 | 0 | 25154.15 | 0.55 |
| 4/27/2017 | Bought 1000 SBGL @ 8.1384 | 1000 SBGL | 8.1384 | 6.95 | -8145.35 | |
| 4/27/2017 | Bought 1000 SGU @ 9.35 | 1000 SGU | 9.35 | 6.95 | -9356.95 | |
| 4/27/2017 | Bought 3700 CALVF @ 1.3295 | 3700 CALVF | 1.3295 | 6.95 | -4926.1 | |
| 4/27/2017 | Bought 100 CALVF @ 1.3282 | 100 CALVF | 1.3282 | 0 | -132.82 | |
| 4/27/2017 | Bought 100 CALVF @ 1.3275 | 100 CALVF | 1.3275 | 0 | -132.75 | |
| 4/27/2017 | Bought 1400 CALVF @ 1.3266 | 1400 CALVF | 1.3266 | 0 | -1857.24 | |
| 4/27/2017 | Bought 200 CALVF @ 1.3265 | 200 CALVF | 1.3265 | 0 | -265.3 | |
| 4/27/2017 | Bought 3300 CALVF @ 1.3225 | 3300 CALVF | 1.3225 | 0 | -4364.25 | |
| 4/27/2017 | Bought 700 CALVF @ 1.3191 | 700 CALVF | 1.3191 | 0 | -923.37 | |
| 4/28/2017 | Bought 100 CALVF @ 1.3245 | 100 CALVF | 1.3245 | 6.95 | -139.4 | |
| 4/28/2017 | Bought 400 CALVF @ 1.3236 | 400 CALVF | 1.3236 | 0 | -529.44 | |
| 5/1/2017 | Bought 7900 CALVF @ 1.3347 | 7900 CALVF | 1.3347 | 6.95 | -10551.1 | |
| 5/1/2017 | Bought 1500 CALVF @ 1.3343 | 1500 CALVF | 1.3343 | 0 | -2001.45 | |
| 5/1/2017 | Bought 600 CALVF @ 1.3341 | 600 CALVF | 1.3341 | 0 | -800.46 | |
| 5/8/2017 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 385 | |
| 5/8/2017 | QUALIFIED DIVIDEND (WMMVY) | WMMVY | | | 38.12 | |
| 5/8/2017 | FOREIGN TAX WITHHELD (WMMVY) | WMMVY | | | -3.81 | |
| 5/8/2017 | QUALIFIED DIVIDEND (WMMVY) | WMMVY | | | 63.54 | |
| 5/8/2017 | QUALIFIED DIVIDEND (WMMVY) | WMMVY | | | 609.96 | |
| 5/8/2017 | FOREIGN TAX WITHHELD (WMMVY) | WMMVY | | | -61 | |
| 5/8/2017 | QUALIFIED DIVIDEND (WMMVY) | WMMVY | | | 336.75 | |
| 5/8/2017 | FOREIGN TAX WITHHELD (WMMVY) | WMMVY | | | -33.67 | |
| 5/11/2017 | Bought 100 CALVF @ 1.2133 | 100 CALVF | 1.2133 | 6.95 | -128.28 | |
| 5/12/2017 | Bought 1200 CALVF @ 1.22 | 1200 CALVF | 1.22 | 6.95 | -1470.95 | |

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|------------|--|-------------------|---------|-------|----------|------|
| 5/12/2017 | Bought 1000 SBGL @ 8.5688 | 1000 SBGL | 8.5688 | 6.95 | -8575.75 | |
| 5/15/2017 | PARTNERSHIP DISTRIBUTION (ARLP) | ARLP | | | 568.75 | |
| 5/15/2017 | Sold 1000 RCKY @ 14.4 | 1000 RCKY | 14.4 | 6.95 | 14392.74 | 0.31 |
| 5/15/2017 | Bought 1800 CALVF @ 1.22 | 1800 CALVF | 1.22 | 6.95 | -2202.95 | |
| 5/15/2017 | Bought 1900 CALVF @ 1.212 | 1900 CALVF | 1.212 | 0 | -2302.8 | |
| 5/17/2017 | Bought 900 SGC @ 18.2 | 900 SGC | 18.2 | 6.95 | -16387 | |
| 5/24/2017 | NON-TAXABLE SPIN OFF/LIQUIDATION DISTRIBU | 6685 SBGL.R | | | 0 | |
| 5/25/2017 | CASH IN LIEU OF FRACTIONAL SHARES (SBGL.R) | SBGL.R | | | 1.63 | |
| 5/30/2017 | PARTNERSHIP DISTRIBUTION (WLKP) | WLKP | | | 415.23 | |
| 6/9/2017 | QUALIFIED DIVIDEND (RCKY) | RCKY | | | 187 | |
| 6/12/2017 | Sold 1000 TATT @ 11.45 | 1000 TATT | 11.45 | 6.95 | 11442.8 | 0.25 |
| 6/12/2017 | Sold 100 TATT @ 11.65 | 100 TATT | 11.65 | 6.95 | 1158.02 | 0.03 |
| 6/14/2017 | Sold 1400 TATT @ 11.25 | 1400 TATT | 11.25 | 6.95 | 15742.71 | 0.34 |
| 6/16/2017 | Bought 1000 URBN @ 17.85 | 1000 URBN | 17.85 | 6.95 | -17857 | |
| 6/16/2017 | Bought 300 CTB @ 35.6 | 300 CTB | 35.6 | 6.95 | -10687 | |
| 6/20/2017 | REMOVAL OF EXPIRED RIGHTS (SBGL.R) | 6685 SBGL.R | | | 0 | |
| 6/21/2017 | QUALIFIED DIVIDEND (TATT) | TATT | | | 849.53 | |
| 6/21/2017 | FOREIGN TAX WITHHELD (TATT) | TATT | | | -212.38 | |
| 7/6/2017 | MANDATORY REVERSE SPLIT (G1757E105) | 25000 | | | 0 | |
| 7/6/2017 | MANDATORY REVERSE SPLIT (CALVD) | 5000 CALVD | | | 0 | |
| 7/17/2017 | Sold 3700 DRD @ 3.05 | 3700 DRD | 3.05 | 6.95 | 11277.79 | 0.26 |
| 7/18/2017 | Bought 300 CTB @ 37.4 | 300 CTB | 37.4 | 6.95 | -11227 | |
| 7/19/2017 | Sold 5700 FRSH @ 4.3 | 5700 FRSH | 4.3 | 6.95 | 24502.48 | 0.57 |
| 7/21/2017 | Bought 400 CTB @ 38.05 | 400 CTB | 38.05 | 6.95 | -15227 | |
| 7/26/2017 | Bought 2100 SBGL @ 4.84 | 2100 SBGL | 4.84 | 6.95 | -10171 | |
| 7/28/2017 | QUALIFIED DIVIDEND (TAX) | TAX | | | 240 | |
| 7/28/2017 | QUALIFIED DIVIDEND (CMCL) | CMCL | | | 343.75 | |
| 8/3/2017 | Sold 2500 SGU @ 10.7 | 2500 SGU | 10.7 | 6.95 | 26742.43 | 0.62 |
| 8/4/2017 | Bought 950 ACET @ 16.16 | 950 ACET | 16.16 | 6.95 | -15359 | |
| 8/7/2017 | Bought 3500 JAKK @ 3.3 | 3500 JAKK | 3.3 | 6.95 | -11557 | |
| 8/8/2017 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 495 | |
| 8/14/2017 | PARTNERSHIP DISTRIBUTION (ARLP) | ARLP | | | 650 | |
| 8/18/2017 | Sold 2100 PARR @ 16.85 | 2100 PARR | 16.85 | 6.95 | 35377.23 | 0.82 |
| 8/21/2017 | Bought 1000 DSW @ 15.3 | 1000 DSW | 15.3 | 6.95 | -15307 | |
| 8/25/2017 | Bought 200 JAKK @ 3.45 | 200 JAKK | 3.45 | 6.95 | -696.95 | |
| 8/28/2017 | Bought 3300 JAKK @ 3.45 | 3300 JAKK | 3.45 | 6.95 | -11392 | |
| 8/29/2017 | PARTNERSHIP DISTRIBUTION (WLKP) | WLKP | | | 427.05 | |
| 8/30/2017 | QUALIFIED DIVIDEND (SGC) | SGC | | | 85.5 | |
| 9/1/2017 | Bought 603 TATT @ 10.7 | 603 TATT | 10.7 | 6.95 | -6459.05 | |
| 9/5/2017 | Bought 252 TATT @ 10.7 | 252 TATT | 10.7 | 6.95 | -2703.35 | |
| 9/5/2017 | Bought 95 TATT @ 10.6498 | 95 TATT | 10.6498 | 0 | -1011.73 | |
| 9/11/2017 | QUALIFIED DIVIDEND (RCKY) | RCKY | | | 187 | |
| 9/21/2017 | QUALIFIED DIVIDEND (ACET) | ACET | | | 61.75 | |
| 9/29/2017 | QUALIFIED DIVIDEND (DSW) | DSW | | | 200 | |
| 9/29/2017 | QUALIFIED DIVIDEND (CTB) | CTB | | | 105 | |
| 10/2/2017 | Sold 7000 JAKK @ 3.05 | 7000 JAKK | 3.05 | 6.95 | 21342.56 | 0.49 |
| 10/2/2017 | Bought 500 DIN @ 43.52 | 500 DIN | 43.52 | 6.95 | -21767 | |
| 10/16/2017 | STOCK DIVIDEND (SBGL) | 146 SBGL | | | 0 | |
| 10/23/2017 | QUALIFIED DIVIDEND (TAX) | TAX | | | 240 | |
| 10/27/2017 | QUALIFIED DIVIDEND (CMCL) | CMCL | | | 343.75 | |
| 10/31/2017 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 220 | |
| 11/13/2017 | Sold 1700 RCKY @ 18.5 | 1700 RCKY | 18.5 | 6.95 | 31442.32 | 0.73 |
| 11/14/2017 | PARTNERSHIP DISTRIBUTION (ARLP) | ARLP | | | 656.5 | |
| 11/15/2017 | Bought 1000 WSTG @ 14.1 | 1000 WSTG | 14.1 | 6.95 | -14107 | |
| 11/27/2017 | Sold 500 URBN @ 30.6 | 500 URBN | 30.6 | 6.95 | 15292.7 | 0.35 |
| 11/28/2017 | Bought 700 ARLP @ 18.15 | 700 ARLP | 18.15 | 6.95 | -12712 | |
| 11/28/2017 | Bought 20 DB Dec 15 2017 19.0 Put @ 0.5 | 20 DB Dec 15 | 0.5 | 21.95 | -1022.35 | 0.4 |

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|------------|---|-------------------|------------------|-----------|----------|----------|---------|
| 11/29/2017 | PARTNERSHIP DISTRIBUTION (WLKP) | WLKP | | | 439.45 | | |
| 11/29/2017 | QUALIFIED DIVIDEND (SGC) | SGC | | | 85.5 | | |
| 12/6/2017 | Sold 500 URBN @ 32.8 | 500 URBN | 32.8 | 6.95 | 16392.67 | 0.38 | |
| 12/6/2017 | Bought 5 CAT May 18 2018 140.0 Put @ 8.3 | 5 CAT May 1 | 8.3 | 10.7 | -4160.8 | 0.1 | |
| 12/6/2017 | Sold 500 URBN @ 32.8 | 500 URBN | 32.8 | 6.95 | 16392.67 | 0.38 | |
| 12/6/2017 | Sold 1170 WLKP @ 22.1 | 1170 WLKP | 22.1 | 6.95 | 25849.45 | 0.6 | |
| 12/6/2017 | Bought 50 CS Jan 19 2018 17.01 Put @ 0.55 | 50 CS Jan 19 2 | 0.55 | 44.45 | -2795.45 | 1 | |
| 12/7/2017 | Bought 1500 TAX @ 11.75 | 1500 TAX | 11.75 | 6.95 | -17632 | | |
| 12/7/2017 | Bought 20 DB Apr 20 2018 19.0 Put @ 1.4 | 20 DB Apr 20 | 1.4 | 21.95 | -2822.35 | 0.4 | |
| 12/7/2017 | Bought 1000 ZOES @ 16.3 | 1000 ZOES | 16.3 | 6.95 | -16307 | | |
| 12/7/2017 | Bought 300 FDP @ 48.6 | 300 FDP | 48.6 | 6.95 | -14587 | | |
| 12/8/2017 | Bought 30 CS Jun 15 2018 16.0 Put @ 0.85 | 30 CS Jun 15 2 | 0.85 | 29.45 | -2580.05 | 0.6 | |
| 12/11/2017 | Sold 1000 CTB @ 34.9179 | 1000 CTB | 34.9179 | 6.95 | 34910.14 | 0.81 | |
| 12/12/2017 | Bought 6000 GRAM @ 3.1 | 6000 GRAM | 3.1 | 6.95 | -18607 | | |
| 12/18/2017 | REMOVAL OF OPTION DUE TO EXPIRATION (DB I | 20 DB Dec 15 2017 | 19.0 Put | | 0 | | |
| 12/18/2017 | Bought 400 CAKE @ 47.89 | 400 CAKE | 47.89 | 6.95 | -19163 | | |
| 12/18/2017 | Bought 2000 GRAM @ 2.85 | 2000 GRAM | 2.85 | 6.95 | -5706.95 | | |
| 12/18/2017 | Bought 200 FDP @ 47.7 | 200 FDP | 47.7 | 6.95 | -9546.95 | | |
| 12/22/2017 | Sold 500 TAX @ 11.1 | 500 TAX | 11.1 | 6.95 | 5542.92 | 0.13 | |
| 12/22/2017 | Bought 10 ADSK Jan 19 2018 105.0 Put @ 2.95 | 10 ADSK Jan 1 | 2.95 | 14.45 | -2964.65 | 0.2 | |
| 12/26/2017 | OFF-CYCLE INTEREST (MMDA1) | MMDA1 | | | 0.49 | | |
| 12/26/2017 | Bought 10 ADSK Jan 19 2018 105.0 Put @ 3.25 | 10 ADSK Jan 1 | 3.25 | 14.45 | -3264.65 | 0.2 | |
| 12/28/2017 | QUALIFIED DIVIDEND (ACET) | ACET | | | 61.75 | | |
| 12/29/2017 | QUALIFIED DIVIDEND (CTB) | CTB | | | 105 | | |
| 12/29/2017 | QUALIFIED DIVIDEND (DSW) | DSW | | | 200 | | |
| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSI | AMOUNT | REG FEE |
| 1/10/2018 | Sold 500 URBN @ 32.49 | 500 | URBN | 32.49 | 6.95 | 16237.67 | 0.38 |
| 1/10/2018 | Bought 40 FCX Jan 26 2018 19.5 Put @ 0.73 | 40 | FCX Jan 26 | 0.73 | 36.95 | -2957.75 | 0.8 |
| 1/11/2018 | Sold 40 FCX Jan 26 2018 19.5 Put @ 0.58 | 40 | FCX Jan 26 | 0.58 | 36.95 | 2282.2 | 0.85 |
| 1/11/2018 | Bought 4000 GRAM @ 2.98 | 4000 | GRAM | 2.98 | 6.95 | -11927 | |
| 1/12/2018 | QUALIFIED DIVIDEND (DIN) | | DIN | | | 485 | |
| 1/22/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (CS J | 50 | CS Jan 19 2018 | 17.01 Put | | 0 | |
| 1/22/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (ADSK | 20 | ADSK Jan 19 2018 | 105.0 Put | | 0 | |
| 1/23/2018 | QUALIFIED DIVIDEND (TAX) | | TAX | | | 400 | |
| 1/26/2018 | QUALIFIED DIVIDEND (CMCL) | | CMCL | | | 343.75 | |
| 1/29/2018 | Bought 15 CAT May 18 2018 140.0 Put @ 2.3 | 15 | CAT May 1 | 2.3 | 18.2 | -3468.5 | 0.3 |
| 1/30/2018 | Bought 15 CS Mar 16 2018 20.0 Put @ 1 | 15 | CS Mar 16 | 1 | 18.2 | -1518.5 | 0.3 |
| 2/5/2018 | Sold 950 ACET @ 7.9 | 950 | ACET | 7.9 | 6.95 | 7497.88 | 0.17 |
| 2/6/2018 | PARTNERSHIP DISTRIBUTION (SGU) | | SGU | | | 220 | |
| 2/7/2018 | Bought 75 RBS Mar 16 2018 8.0 Put @ 0.35 | 75 | RBS Mar 16 | 0.35 | 63.2 | -2689.45 | 1.25 |
| 2/14/2018 | PARTNERSHIP DISTRIBUTION (ARLP) | | ARLP | | | 1020 | |
| 2/14/2018 | Bought 3000 HMY @ 2.0099 | 3000 | HMY | 2.0099 | 6.95 | -6036.65 | |
| 2/28/2018 | QUALIFIED DIVIDEND (SGC) | | SGC | | | 85.5 | |
| 3/12/2018 | QUALIFIED DIVIDEND (WSTG) | | WSTG | | | 170 | |
| 3/19/2018 | Sold 1500 CS @ 20 | 1500 | CS | 20 | 19.99 | 29979.32 | 0.69 |
| 3/19/2018 | Sold 7500 RBS @ 8 | 7500 | RBS | 8 | 19.99 | 59978.62 | 1.39 |
| 3/19/2018 | REMOVAL OF OPTION DUE TO EXERCISE (CS Mar | 15 | CS Mar 16 2018 | 20.0 Put | | 0 | |
| 3/19/2018 | REMOVAL OF OPTION DUE TO EXERCISE (RBS M | 75 | RBS Mar 16 2018 | 8.0 Put | | 0 | |
| 3/19/2018 | Sold 200 DIN @ 65.2 | 200 | DIN | 65.2 | 6.95 | 13032.75 | 0.3 |
| 3/19/2018 | Bought 3113 RBS @ 7.3599 | 3113 | RBS | 7.3599 | 6.95 | -22918.3 | |
| 3/19/2018 | Bought 100 CS @ 17.9988 | 100 | CS | 17.9988 | 6.95 | -1806.83 | |
| 3/19/2018 | Bought 4387 RBS @ 7.3696 | 4387 | RBS | 7.3696 | 6.95 | -32337.4 | |
| 3/19/2018 | Bought 1400 CS @ 18.006 | 1400 | CS | 18.006 | 6.95 | -25215.4 | |
| 3/20/2018 | QUALIFIED DIVIDEND (CAKE) | | CAKE | | | 116 | |
| 3/21/2018 | Bought 5000 HMY @ 2.25 | 5000 | HMY | 2.25 | 6.95 | -11257 | |
| 3/21/2018 | Bought 40 FCX Apr 20 2018 19.0 Put @ 0.9 | 40 | FCX Apr 20 | 0.9 | 36.95 | -3637.61 | 0.66 |
| 3/21/2018 | Bought 50 CS Apr 20 2018 18.0 Put @ 0.65 | 50 | CS Apr 20 | 0.65 | 44.45 | -3295.28 | 0.83 |

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| 3/22/2018 | Bought 10 APC Apr 20 2018 61.5 Put @ 2.25 | 10 APC Apr 20 | 2.25 | 14.45 | -2264.62 | 0.17 |
| 4/2/2018 | QUALIFIED DIVIDEND (FDP) | FDP | | | 75 | |
| 4/6/2018 | QUALIFIED DIVIDEND (DIN) | DIN | | | 315 | |
| 4/6/2018 | QUALIFIED DIVIDEND (DSW) | DSW | | | 250 | |
| 4/6/2018 | Sold 10 APC Apr 20 2018 61.5 Put @ 2.35 | 10 APC Apr 20 | 2.35 | 14.45 | 2335.33 | 0.22 |
| 4/6/2018 | Sold 25 CS Apr 20 2018 18.0 Put @ 1.7 | 25 CS Apr 20 | 1.7 | 25.7 | 4223.78 | 0.52 |
| 4/6/2018 | Sold 10 DB Apr 20 2018 19.0 Put @ 5.1 | 10 DB Apr 20 | 5.1 | 14.45 | 5085.26 | 0.29 |
| 4/6/2018 | Sold 500 ARLP @ 16.8 | 500 ARLP | 16.8 | 6.95 | 8392.86 | 0.19 |
| 4/6/2018 | Sold 300 SGC @ 26.5 | 300 SGC | 26.5 | 6.95 | 7942.87 | 0.18 |
| 4/12/2018 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -20000 | |
| 4/18/2018 | Sold 20 CAT May 18 2018 140.0 Put @ 1.2 | 20 CAT May 1 | 1.2 | 21.95 | 2377.66 | 0.39 |
| 4/18/2018 | Sold 40 FCX Apr 20 2018 19.0 Put @ 0.2 | 40 FCX Apr 20 | 0.2 | 36.95 | 762.37 | 0.68 |
| 4/19/2018 | Sold 10 DB Apr 20 2018 19.0 Put @ 4.45 | 10 DB Apr 20 | 4.45 | 14.45 | 4435.28 | 0.27 |
| 4/19/2018 | Sold 25 CS Apr 20 2018 18.0 Put @ 1.45 | 25 CS Apr 20 | 1.45 | 25.7 | 3598.8 | 0.5 |
| 4/19/2018 | Bought 5 CAT Aug 17 2018 160.0 Put @ 12.6 | 5 CAT Aug 17 | 12.6 | 10.7 | -6310.78 | 0.08 |
| 4/20/2018 | Bought 50 DB Jun 15 2018 14.0 Put @ 0.64 | 50 DB Jun 15 | 0.64 | 44.45 | -3245.28 | 0.83 |
| 4/20/2018 | STOCK DIVIDEND (SBGL) | 297 SBGL | | | 0 | |
| 4/20/2018 | Bought 20 BSX Aug 17 2018 30.0 Put @ 2 | 20 BSX Aug 17 | 2 | 21.95 | -4022.28 | 0.33 |
| 4/20/2018 | CASH IN LIEU OF FRACTIONAL SHARES (SBGL) | SBGL | | | 3.18 | |
| 4/23/2018 | Bought 100 RBS May 18 2018 8.0 Put @ 0.35 | 100 RBS May 1 | 0.35 | 81.95 | -3583.61 | 1.66 |
| 4/24/2018 | QUALIFIED DIVIDEND (TAX) | TAX | | | 400 | |
| 4/24/2018 | Bought 15 BSX Aug 17 2018 30.0 Put @ 2.3 | 15 BSX Aug 17 | 2.3 | 18.2 | -3468.45 | 0.25 |
| 4/27/2018 | Sold 300 DIN @ 81.6 | 300 DIN | 81.6 | 6.95 | 24472.48 | 0.57 |
| 4/27/2018 | QUALIFIED DIVIDEND (CMCL) | CMCL | | | 343.75 | |
| 5/1/2018 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -8607 | |
| 5/7/2018 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 235 | |
| 5/15/2018 | PARTNERSHIP DISTRIBUTION (ARLP) | ARLP | | | 772.5 | |
| 5/15/2018 | Bought 18 GOLD Jun 15 2018 80.0 Call @ 1.47 | 18 GOLD Jun 15 | 1.47 | 21.95 | -2668.25 | 0.3 |
| 5/15/2018 | Bought 2 GOLD Jun 15 2018 80.0 Call @ 1.45 | 2 GOLD Jun 15 | 1.45 | 0 | -290.03 | 0.03 |
| 5/21/2018 | Sold 10000 RBS @ 8 | 10000 RBS | 8 | 19.99 | 79977.78 | 2.23 |
| 5/21/2018 | REMOVAL OF OPTION DUE TO EXERCISE (ORBS...) | 100 | | | 0 | |
| 5/21/2018 | QUALIFIED DIVIDEND (WSTG) | WSTG | | | 170 | |
| 5/21/2018 | Sold 8000 HMY @ 1.76 | 8000 HMY | 1.76 | 6.95 | 14071.92 | 1.13 |
| 5/21/2018 | Bought 10000 RBS @ 7.95 | 10000 RBS | 7.95 | 6.95 | -79507 | |
| 5/22/2018 | QUALIFIED DIVIDEND (CAKE) | CAKE | | | 116 | |
| 5/24/2018 | Sold 20 DB Jun 15 2018 14.0 Put @ 1.7 | 20 DB Jun 15 | 1.7 | 21.95 | 3377.64 | 0.41 |
| 5/29/2018 | Bought 50 FCX Jun 15 2018 17.0 Put @ 0.69 | 50 FCX Jun 15 | 0.69 | 44.45 | -3495.28 | 0.83 |
| 5/30/2018 | QUALIFIED DIVIDEND (SGC) | SGC | | | 57 | |
| 5/31/2018 | Bought 50 FCX Jun 15 2018 17.0 Put @ 0.53 | 50 FCX Jun 15 | 0.53 | 44.45 | -2695.28 | 0.83 |
| 6/1/2018 | QUALIFIED DIVIDEND (FDP) | FDP | | | 75 | |
| 6/5/2018 | Bought 3000 FRAN @ 5.38 | 3000 FRAN | 5.38 | 6.95 | -16147 | |
| 6/8/2018 | Sold 35 BSX Aug 17 2018 30.0 Put @ 0.6 | 35 BSX Aug 17 | 0.6 | 33.2 | 2066.12 | 0.68 |
| 6/15/2018 | Sold 10 DB Jun 15 2018 14.0 Put @ 3 | 10 DB Jun 15 | 3 | 14.45 | 2985.32 | 0.23 |
| 6/15/2018 | Sold 50 FCX Jun 15 2018 17.0 Put @ 0.2 | 50 FCX Jun 15 | 0.2 | 44.45 | 954.61 | 0.94 |
| 6/18/2018 | Sold 3000 CS @ 16 | 3000 CS | 16 | 19.99 | 47979.03 | 0.98 |
| 6/18/2018 | Sold 2000 DB @ 14 | 2000 DB | 14 | 19.99 | 27979.41 | 0.6 |
| 6/18/2018 | Sold 5000 FCX @ 17 | 5000 FCX | 17 | 19.99 | 84978.3 | 1.71 |
| 6/18/2018 | REMOVAL OF OPTION DUE TO EXERCISE (OCS...R | 30 | | | 0 | |
| 6/18/2018 | REMOVAL OF OPTION DUE TO EXERCISE (ODB...F | 20 | | | 0 | |
| 6/18/2018 | REMOVAL OF OPTION DUE TO EXERCISE (FCX...I | 50 | | | 0 | |
| 6/18/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OGC | 20 | | | 0 | |
| 6/18/2018 | Bought 3000 CS @ 15.1699 | 3000 CS | 15.1699 | 6.95 | -45516.7 | |
| 6/18/2018 | Bought 2000 DB @ 11.0485 | 2000 DB | 11.0485 | 6.95 | -22104 | |
| 6/18/2018 | Bought 4900 FCX @ 16.7056 | 4900 FCX | 16.7056 | 6.95 | -81864.4 | |
| 6/18/2018 | Bought 100 FCX @ 16.7039 | 100 FCX | 16.7039 | 0 | -1670.39 | |
| 6/19/2018 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -10000 | |
| 6/19/2018 | Bought 20 IBKR Aug 17 2018 65.0 Put @ 2 | 20 IBKR Aug 1 | 2 | 21.95 | -4022.28 | 0.33 |

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|-----------|--|---------------|---------|-------|----------|------|
| 6/19/2018 | Bought 30 VOD Aug 17 2018 25.0 Put @ 0.98 | 30 VOD Aug 1 | 0.98 | 29.45 | -2969.95 | 0.5 |
| 6/19/2018 | Bought 15 LBTYA Aug 17 2018 30.0 Put @ 2.1 | 15 LBTYA Aug | 2.1 | 18.2 | -3168.45 | 0.25 |
| 6/19/2018 | Bought 10 HIG Aug 17 2018 55.0 Put @ 2.8 | 10 HIG Aug 17 | 2.8 | 14.45 | -2814.62 | 0.17 |
| 6/21/2018 | Sold 1500 ARLP @ 18.3703 | 1500 ARLP | 18.3703 | 6.95 | 27547.96 | 0.54 |
| 6/21/2018 | Bought 1500 ZOES @ 9.35 | 1500 ZOES | 9.35 | 6.95 | -14032 | |
| 6/21/2018 | Bought 1000 DF @ 10.22 | 1000 DF | 10.22 | 6.95 | -10227 | |
| 6/22/2018 | Sold 3 CAT Aug 17 2018 160.0 Put @ 20.7 | 3 CAT Aug 17 | 20.7 | 9.2 | 6200.66 | 0.14 |
| 6/22/2018 | Bought 1000 DF @ 10.5257 | 1000 DF | 10.5257 | 6.95 | -10532.7 | |
| 6/22/2018 | Bought 20 VOD Aug 17 2018 25.0 Put @ 0.72 | 20 VOD Aug 1 | 0.72 | 21.95 | -1462.28 | 0.33 |
| 6/25/2018 | Sold 2000 GRAMY @ 3.1 | 2000 GRAMY | 3.1 | 6.95 | 6192.73 | 0.32 |
| 6/25/2018 | Bought 5 ADSK Aug 17 2018 130.0 Put @ 6 | 5 ADSK Aug 1 | 6 | 10.7 | -3010.78 | 0.08 |
| 6/25/2018 | Sold 500 CMCL @ 8.1 | 500 CMCL | 8.1 | 6.95 | 4042.94 | 0.11 |
| 6/26/2018 | OFF-CYCLE INTEREST (MMDA1) | MMDA1 | | | 0.7 | |
| 7/3/2018 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -7214 | |
| 7/3/2018 | Sold 2 CAT Aug 17 2018 160.0 Put @ 24.7 | 2 CAT Aug 17 | 24.7 | 8.45 | 4931.46 | 0.09 |
| 7/5/2018 | QUALIFIED DIVIDEND (DSW) | DSW | | | 250 | |
| 7/5/2018 | Bought 15 TSN Aug 17 2018 67.5 Call @ 2.35 | 15 TSN Aug 17 | 2.35 | 18.2 | -3543.45 | 0.25 |
| 7/5/2018 | Bought 10 HCA Aug 17 2018 105.0 Call @ 2.45 | 10 HCA Aug 17 | 2.45 | 14.45 | -2464.62 | 0.17 |
| 7/9/2018 | Sold 50 VOD Aug 17 2018 25.0 Put @ 0.51 | 50 VOD Aug 1 | 0.51 | 44.45 | 2504.59 | 0.96 |
| 7/11/2018 | Bought 5 SWKS Aug 17 2018 100.0 Call @ 3.95 | 5 SWKS Aug | 3.95 | 10.7 | -1985.78 | 0.08 |
| 7/18/2018 | Sold 20 IBKR Aug 17 2018 65.0 Put @ 2.3 | 20 IBKR Aug 1 | 2.3 | 21.95 | 4577.62 | 0.43 |
| 7/19/2018 | Bought 40 VOD Aug 17 2018 24.0 Put @ 0.94 | 40 VOD Aug 1 | 0.94 | 36.95 | -3797.61 | 0.66 |
| 7/24/2018 | ADR FEE (GRAM) | GRAM | | | -120 | |
| 7/24/2018 | Bought 5 ADSK Aug 17 2018 130.0 Put @ 2.65 | 5 ADSK Aug 1 | 2.65 | 10.7 | -1335.78 | 0.08 |
| 7/25/2018 | Sold 15 TSN Aug 17 2018 67.5 Call @ 0.35 | 15 TSN Aug 17 | 0.35 | 18.2 | 506.51 | 0.29 |
| 7/25/2018 | Sold 5 HCA Aug 17 2018 105.0 Call @ 13.35 | 5 HCA Aug 17 | 13.35 | 10.7 | 6664.12 | 0.18 |
| 7/26/2018 | Bought 15 CVS Sep 21 2018 67.5 Call @ 2.4 | 15 CVS Sep 21 | 2.4 | 18.2 | -3618.45 | 0.25 |
| 7/26/2018 | Bought 15 HOG Sep 21 2018 45.0 Call @ 2 | 15 HOG Sep 2 | 2 | 18.2 | -3018.45 | 0.25 |
| 7/27/2018 | QUALIFIED DIVIDEND (CMCL) | CMCL | | | 309.38 | |
| 7/31/2018 | Sold 3 HCA Aug 17 2018 105.0 Call @ 19.5 | 3 HCA Aug 17 | 19.5 | 9.2 | 5840.66 | 0.14 |
| 7/31/2018 | Sold 2 HCA Aug 17 2018 105.0 Call @ 19.5 | 2 HCA Aug 17 | 19.5 | 8.45 | 3891.47 | 0.08 |
| 8/2/2018 | Bought 10 OMC Oct 19 2018 67.5 Call @ 2.75 | 10 OMC Oct 1 | 2.75 | 14.45 | -2764.59 | 0.14 |
| 8/3/2018 | Bought 15 HOG Sep 21 2018 42.5 Call @ 2.1 | 15 HOG Sep 2 | 2.1 | 18.2 | -3168.41 | 0.21 |
| 8/7/2018 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 235 | |
| 8/9/2018 | Sold 300 DSW @ 27.6 | 300 DSW | 27.6 | 6.95 | 8272.9 | 0.15 |
| 8/9/2018 | Bought 25 HRB Sep 21 2018 25.0 Call @ 1.55 | 25 HRB Sep 21 | 1.55 | 25.7 | -3901.05 | 0.35 |
| 8/9/2018 | Sold 300 CMCL @ 8 | 300 CMCL | 8 | 6.95 | 2392.98 | 0.07 |
| 8/10/2018 | QUALIFIED DIVIDEND (TAXA) | TAXA | | | 400 | |
| 8/10/2018 | Sold 1200 CMCL @ 8.45 | 1200 CMCL | 8.45 | 6.95 | 10132.78 | 0.27 |
| 8/10/2018 | Bought 25 FCX Sep 21 2018 16.0 Put @ 1.24 | 25 FCX Sep 21 | 1.24 | 25.7 | -3126.05 | 0.35 |
| 8/10/2018 | Bought 20 ADSK Aug 17 2018 130.0 Put @ 0.57 | 20 ADSK Aug 1 | 0.57 | 21.95 | -1162.23 | 0.28 |
| 8/10/2018 | Bought 5 CRM Sep 21 2018 145.0 Put @ 5 | 5 CRM Sep 21 | 5 | 10.7 | -2510.77 | 0.07 |
| 8/15/2018 | Bought 5 CRM Sep 21 2018 145.0 Put @ 5.35 | 5 CRM Sep 21 | 5.35 | 10.7 | -2685.77 | 0.07 |
| 8/15/2018 | Bought 40 RBS Sep 21 2018 7.0 Put @ 0.9 | 40 RBS Sep 21 | 0.9 | 36.95 | -3637.51 | 0.56 |
| 8/15/2018 | Bought 50 CS Sep 21 2018 15.0 Put @ 0.55 | 50 CS Sep 21 | 0.55 | 44.45 | -2795.15 | 0.7 |
| 8/15/2018 | Sold 5000 GRAM @ 3.7 | 5000 GRAM | 3.7 | 6.95 | 18492.21 | 0.84 |
| 8/17/2018 | Sold 10 HIG Aug 17 2018 55.0 Put @ 3 | 10 HIG Aug 17 | 3 | 14.45 | 2985.35 | 0.2 |
| 8/17/2018 | Sold 15 LBTYA Aug 17 2018 30.0 Put @ 3.6 | 15 LBTYA Aug | 3.6 | 18.2 | 5381.49 | 0.31 |
| 8/17/2018 | Sold 40 VOD Aug 17 2018 24.0 Put @ 1.18 | 40 VOD Aug 1 | 1.18 | 36.95 | 4682.35 | 0.7 |
| 8/20/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OSW) | 5 | | | 0 | |
| 8/20/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OAC) | 30 | | | 0 | |
| 8/21/2018 | Sold 700 DSW @ 27.2 | 700 DSW | 27.2 | 6.95 | 19032.72 | 0.33 |
| 8/21/2018 | Bought 300 CRUS @ 43.5 | 300 CRUS | 43.5 | 6.95 | -13057 | |
| 8/21/2018 | Bought 150 SAFM @ 105 | 150 SAFM | 105 | 6.95 | -15757 | |
| 8/21/2018 | Bought 3000 SPWH @ 5.2988 | 3000 SPWH | 5.2988 | 6.95 | -15903.4 | |
| 8/21/2018 | Sold 5000 GRAM @ 3.44 | 5000 GRAM | 3.44 | 6.95 | 17192.23 | 0.82 |
| 8/22/2018 | QUALIFIED DIVIDEND (WSTG) | WSTG | | | 170 | |

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|------------|--|---------------|---------|-------|----------|------|
| 8/22/2018 | Bought 600 SGC @ 19.15 | 600 SGC | 19.15 | 6.95 | -11497 | |
| 8/22/2018 | Sold 5 CVS Sep 21 2018 67.5 Call @ 7.05 | 5 CVS Sep 21 | 7.05 | 10.7 | 3514.17 | 0.13 |
| 8/22/2018 | Bought 1000 WSTG @ 11.45 | 1000 WSTG | 11.45 | 6.95 | -11457 | |
| 8/27/2018 | Sold 10 CRM Sep 21 2018 145.0 Put @ 1.41 | 10 CRM Sep 2 | 1.41 | 14.45 | 1395.37 | 0.18 |
| 8/27/2018 | Sold 1500 FRAN @ 6.6341 | 1500 FRAN | 6.6341 | 6.95 | 9943.89 | 0.31 |
| 8/27/2018 | Bought 1000 EOCC @ 20.45 | 1000 EOCC | 20.45 | 6.95 | -20457 | |
| 8/28/2018 | QUALIFIED DIVIDEND (CAKE) | CAKE | | | 132 | |
| 8/29/2018 | QUALIFIED DIVIDEND (DF) | DF | | | 180 | |
| 8/29/2018 | QUALIFIED DIVIDEND (SGC) | SGC | | | 60 | |
| 8/30/2018 | Sold 2500 ZOES @ 13.4667 | 2500 ZOES | 13.4667 | 6.95 | 33659.06 | 0.74 |
| 8/30/2018 | Bought 20 VOD Oct 19 2018 23.0 Put @ 1.02 | 20 VOD Oct 1 | 1.02 | 21.95 | -2062.23 | 0.28 |
| 8/30/2018 | Bought 20 LBTYA Oct 19 2018 27.5 Put @ 1.3 | 20 LBTYA Oct | 1.3 | 21.95 | -2622.23 | 0.28 |
| 8/31/2018 | OFF-CYCLE INTEREST (MMDA1) | MMDA1 | | | 0.56 | |
| 8/31/2018 | Bought 100 SAFM @ 106.5 | 100 SAFM | 106.5 | 6.95 | -10657 | |
| 9/4/2018 | Bought 200 CRUS @ 43.51 | 200 CRUS | 43.51 | 6.95 | -8708.95 | |
| 9/5/2018 | Bought 10 HOG Nov 16 2018 42.5 Call @ 2.8 | 10 HOG Nov 1 | 2.8 | 14.45 | -2814.59 | 0.14 |
| 9/7/2018 | QUALIFIED DIVIDEND (FDP) | FDP | | | 75 | |
| 9/10/2018 | Sold 25 HRB Sep 21 2018 25.0 Call @ 1.3 | 25 HRB Sep 2 | 1.3 | 25.7 | 3223.86 | 0.44 |
| 9/11/2018 | Bought 1500 TATT @ 6.5 | 1500 TATT | 6.5 | 6.95 | -9756.95 | |
| 9/18/2018 | Sold 40 RBS Sep 21 2018 7.0 Put @ 0.3 | 40 RBS Sep 21 | 0.3 | 36.95 | 1162.39 | 0.66 |
| 9/18/2018 | Bought 10 IBKR Dec 21 2018 55.0 Put @ 2.2 | 10 IBKR Dec 2 | 2.2 | 14.45 | -2214.59 | 0.14 |
| 9/19/2018 | Sold 25 FCX Sep 21 2018 16.0 Put @ 1.61 | 25 FCX Sep 21 | 1.61 | 25.7 | 3998.85 | 0.45 |
| 9/19/2018 | Bought 5 ADSK Jan 18 2019 145.0 Put @ 9.3 | 5 ADSK Jan 1 | 9.3 | 10.7 | -4660.77 | 0.07 |
| 9/19/2018 | Sold 5 CVS Sep 21 2018 67.5 Call @ 12.35 | 5 CVS Sep 21 | 12.35 | 10.7 | 6164.14 | 0.16 |
| 9/21/2018 | Sold 15 HOG Sep 21 2018 42.5 Call @ 2.75 | 15 HOG Sep 2 | 2.75 | 18.2 | 4106.51 | 0.29 |
| 9/21/2018 | Sold 15 HOG Sep 21 2018 45.0 Call @ 0.35 | 15 HOG Sep 2 | 0.35 | 18.2 | 506.55 | 0.25 |
| 9/21/2018 | Sold 5 CVS Sep 21 2018 67.5 Call @ 12.3 | 5 CVS Sep 21 | 12.3 | 10.7 | 6139.14 | 0.16 |
| 9/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OCS | 50 | | | 0 | |
| 10/1/2018 | Bought 10 IBKR Dec 21 2018 55.0 Put @ 2.8 | 10 IBKR Dec 2 | 2.8 | 14.45 | -2814.59 | 0.14 |
| 10/1/2018 | Sold 5 ADSK Jan 18 2019 145.0 Put @ 5.9 | 5 ADSK Jan 1 | 5.9 | 10.7 | 2939.18 | 0.12 |
| 10/1/2018 | Bought 20 HRB Nov 16 2018 25.0 Call @ 1.6 | 20 HRB Nov 1 | 1.6 | 21.95 | -3222.23 | 0.28 |
| 10/4/2018 | Bought 20 HRB Nov 16 2018 25.0 Call @ 0.9 | 20 HRB Nov 1 | 0.9 | 21.95 | -1822.23 | 0.28 |
| 10/5/2018 | Sold 1500 FRAN @ 3.65 | 1500 FRAN | 3.65 | 6.95 | 5467.8 | 0.25 |
| 10/5/2018 | Sold 2000 DF @ 7.2 | 2000 DF | 7.2 | 6.95 | 14392.62 | 0.43 |
| 10/8/2018 | Sold 600 TATT @ 6.8 | 600 TATT | 6.8 | 6.95 | 4072.93 | 0.12 |
| 10/9/2018 | Bought 10 SAFM Nov 16 2018 105.0 Call @ 4.1 | 10 SAFM Nov | 4.1 | 14.45 | -4114.59 | 0.14 |
| 10/9/2018 | Sold 1850 TATT @ 6.8 | 1850 TATT | 6.8 | 6.95 | 12572.67 | 0.38 |
| 10/11/2018 | Sold 500 FDP @ 31.15 | 500 FDP | 31.15 | 6.95 | 15567.79 | 0.26 |
| 10/11/2018 | Bought 2000 SPWH @ 5.31 | 2000 SPWH | 5.31 | 6.95 | -10627 | |
| 10/11/2018 | Sold 10 OMC Oct 19 2018 67.5 Call @ 2.35 | 10 OMC Oct 1 | 2.35 | 14.45 | 2335.36 | 0.19 |
| 10/12/2018 | Bought 20 SAFM Nov 16 2018 105.0 Call @ 1.75 | 20 SAFM Nov | 1.75 | 21.95 | -3522.23 | 0.28 |
| 10/12/2018 | Bought 3000 SBGL @ 3.13 | 3000 SBGL | 3.13 | 6.95 | -9396.95 | |
| 10/12/2018 | Bought 20 SAFM Nov 16 2018 105.0 Call @ 1.7 | 20 SAFM Nov | 1.7 | 21.95 | -3422.23 | 0.28 |
| 10/15/2018 | Bought 15 AIG Nov 16 2018 50.0 Put @ 2 | 15 AIG Nov 16 | 2 | 18.2 | -3018.41 | 0.21 |
| 10/15/2018 | Bought 50 HIG Nov 16 2018 45.0 Put @ 0.8 | 50 HIG Nov 16 | 0.8 | 44.45 | -4045.15 | 0.7 |
| 10/15/2018 | Bought 20 BHP Nov 16 2018 48.0 Put @ 1.6 | 20 BHP Nov 1 | 1.6 | 21.95 | -3222.23 | 0.28 |
| 10/15/2018 | Bought 5 CRM Nov 16 2018 145.0 Put @ 7 | 5 CRM Nov 1 | 7 | 10.7 | -3510.77 | 0.07 |
| 10/15/2018 | Bought 30 LB Nov 16 2018 32.5 Call @ 1 | 30 LB Nov 16 | 1 | 29.45 | -3029.87 | 0.42 |
| 10/16/2018 | QUALIFIED DIVIDEND (SAFM) | SAFM | | | 80 | |
| 10/16/2018 | Bought 40 ARLP Nov 16 2018 20.0 Call @ 0.9 | 40 ARLP Nov | 0.9 | 36.95 | -3637.51 | 0.56 |
| 10/16/2018 | Bought 30 ARLP Nov 16 2018 20.0 Call @ 0.8 | 30 ARLP Nov | 0.8 | 29.45 | -2429.87 | 0.42 |
| 10/16/2018 | Bought 10 AIG Nov 16 2018 50.0 Put @ 2.1 | 10 AIG Nov 16 | 2.1 | 14.45 | -2114.59 | 0.14 |
| 10/16/2018 | Bought 10 SAFM Nov 16 2018 105.0 Call @ 2.4 | 10 SAFM Nov | 2.4 | 14.45 | -2414.59 | 0.14 |
| 10/16/2018 | Bought 20 LB Nov 16 2018 32.5 Call @ 0.95 | 20 LB Nov 16 | 0.95 | 21.95 | -1922.23 | 0.28 |
| 10/17/2018 | Bought 50 RBS Nov 16 2018 7.0 Put @ 0.7 | 50 RBS Nov 16 | 0.7 | 44.45 | -3545.15 | 0.7 |
| 10/19/2018 | Sold 10 VOD Oct 19 2018 23.0 Put @ 2.8 | 10 VOD Oct 1 | 2.8 | 14.45 | 2785.35 | 0.2 |
| 10/19/2018 | Sold 10 VOD Oct 19 2018 23.0 Put @ 2.73 | 10 VOD Oct 1 | 2.73 | 14.45 | 2715.35 | 0.2 |

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|------------|---|----------------|-------|-------|----------|-------|
| 10/19/2018 | Sold 20 LBTYA Oct 19 2018 27.5 Put @ 1.45 | 20 LBTYA Oct | 1.45 | 21.95 | 2877.69 | 0.36 |
| 10/22/2018 | Sold 10 HOG Nov 16 2018 42.5 Call @ 0.6 | 10 HOG Nov 1 | 0.6 | 14.45 | 585.38 | 0.17 |
| 10/22/2018 | Bought 100 LB Nov 16 2018 32.5 Call @ 0.3 | 100 LB Nov 16 | 0.3 | 81.95 | -3083.35 | 1.4 |
| 10/23/2018 | Sold 70 ARLP Nov 16 2018 20.0 Call @ 0.25 | 70 ARLP Nov : | 0.25 | 59.45 | 1689.41 | 1.14 |
| 10/23/2018 | Bought 15 CALM Dec 21 2018 47.5 Call @ 2.4 | 15 CALM Dec | 2.4 | 18.2 | -3618.41 | 0.21 |
| 10/23/2018 | Bought 20 OTEX Dec 21 2018 35.0 Call @ 1.3 | 20 OTEX Dec : | 1.3 | 21.95 | -2622.23 | 0.28 |
| 10/24/2018 | Bought 10 BHP Nov 16 2018 48.0 Put @ 3.8 | 10 BHP Nov 1 | 3.8 | 14.45 | -3814.59 | 0.14 |
| 10/26/2018 | Sold 20 OTEX Dec 21 2018 35.0 Call @ 0.65 | 20 OTEX Dec : | 0.65 | 21.95 | 1277.71 | 0.34 |
| 10/26/2018 | QUALIFIED DIVIDEND (CMCL) | CMCL | | | 206.25 | |
| 10/29/2018 | Sold 50 LB Nov 16 2018 32.5 Call @ 0.85 | 50 LB Nov 16 | 0.85 | 44.45 | 4204.69 | 0.86 |
| 10/29/2018 | Bought 40 CS Dec 21 2018 13.0 Put @ 0.88 | 40 CS Dec 21 : | 0.88 | 36.95 | -3557.51 | 0.56 |
| 10/29/2018 | Bought 200 TAXA @ 10.55 | 200 TAXA | 10.55 | 6.95 | -2116.95 | |
| 10/30/2018 | Sold 5 AIG Nov 16 2018 50.0 Put @ 10.35 | 5 AIG Nov 16 | 10.35 | 10.7 | 5164.15 | 0.15 |
| 10/30/2018 | Bought 20 SLB Dec 21 2018 50.0 Put @ 1.88 | 20 SLB Dec 21 | 1.88 | 21.95 | -3782.23 | 0.28 |
| 10/30/2018 | Sold 50 RBS Nov 16 2018 7.0 Put @ 0.95 | 50 RBS Nov 16 | 0.95 | 44.45 | 4704.69 | 0.86 |
| 10/30/2018 | Sold 5 AIG Nov 16 2018 50.0 Put @ 9.2 | 5 AIG Nov 16 | 9.2 | 10.7 | 4589.16 | 0.14 |
| 10/31/2018 | Bought 10 SJM Dec 21 2018 110.0 Call @ 3.58 | 10 SJM Dec 21 | 3.58 | 14.45 | -3594.59 | 0.14 |
| 10/31/2018 | Bought 20 STWD Dec 21 2018 20.0 Call @ 1.85 | 20 STWD Dec | 1.85 | 21.95 | -3722.23 | 0.28 |
| 10/31/2018 | Bought 5 UHS Dec 21 2018 120.0 Call @ 5.7 | 5 UHS Dec 21 | 5.7 | 10.7 | -2860.77 | 0.07 |
| 10/31/2018 | Sold 5 AIG Nov 16 2018 50.0 Put @ 8.45 | 5 AIG Nov 16 | 8.45 | 10.7 | 4214.17 | 0.13 |
| 10/31/2018 | Sold 10 IBKR Dec 21 2018 55.0 Put @ 6.7 | 10 IBKR Dec 2 | 6.7 | 14.45 | 6685.3 | 0.25 |
| 11/1/2018 | Sold 5 AIG Nov 16 2018 50.0 Put @ 7.1 | 5 AIG Nov 16 | 7.1 | 10.7 | 3539.17 | 0.13 |
| 11/1/2018 | Sold 30 BHP Nov 16 2018 48.0 Put @ 1.39 | 30 BHP Nov 16 | 1.39 | 29.45 | 4140.02 | 0.53 |
| 11/1/2018 | Bought 20 SLB Dec 21 2018 50.0 Put @ 1.53 | 20 SLB Dec 21 | 1.53 | 21.95 | -3082.23 | 0.28 |
| 11/1/2018 | Sold 50 LB Nov 16 2018 32.5 Call @ 1.6 | 50 LB Nov 16 | 1.6 | 44.45 | 7954.65 | 0.9 |
| 11/1/2018 | Bought 5 CRM Dec 21 2018 135.0 Put @ 6.75 | 5 CRM Dec 21 | 6.75 | 10.7 | -3385.77 | 0.07 |
| 11/1/2018 | Bought 60 CS Dec 21 2018 13.0 Put @ 0.55 | 60 CS Dec 21 : | 0.55 | 51.95 | -3352.79 | 0.84 |
| 11/1/2018 | Sold 20 LB Nov 16 2018 32.5 Call @ 1.5 | 20 LB Nov 16 | 1.5 | 21.95 | 2977.69 | 0.36 |
| 11/1/2018 | Sold 30 LB Nov 16 2018 32.5 Call @ 1.6 | 30 LB Nov 16 | 1.6 | 29.45 | 4770.01 | 0.54 |
| 11/1/2018 | Bought 5 AIG Nov 16 2018 50.0 Put @ 7.2 | 5 AIG Nov 16 | 7.2 | 10.7 | -3610.77 | 0.07 |
| 11/1/2018 | Bought 10 SJM Dec 21 2018 110.0 Call @ 4.45 | 10 SJM Dec 21 | 4.45 | 14.45 | -4464.59 | 0.14 |
| 11/1/2018 | Bought 5 UHS Dec 21 2018 120.0 Call @ 6.2 | 5 UHS Dec 21 | 6.2 | 10.7 | -3110.77 | 0.07 |
| 11/1/2018 | Bought 30 HIG Dec 21 2018 45.0 Put @ 1.32 | 30 HIG Dec 21 | 1.32 | 29.45 | -3989.87 | 0.42 |
| 11/1/2018 | Bought 60 CS Dec 21 2018 13.0 Put @ 0.52 | 60 CS Dec 21 : | 0.52 | 51.95 | -3172.79 | 0.84 |
| 11/2/2018 | Bought 5 UHS Dec 21 2018 120.0 Call @ 7.2 | 5 UHS Dec 21 | 7.2 | 10.7 | -3610.77 | 0.07 |
| 11/2/2018 | Bought 20 SLB Dec 21 2018 50.0 Put @ 1.5 | 20 SLB Dec 21 | 1.5 | 21.95 | -3022.23 | 0.28 |
| 11/5/2018 | Sold 3000 CMCL @ 5.45 | 3000 CMCL | 5.45 | 6.95 | 16342.48 | 0.57 |
| 11/6/2018 | PARTNERSHIP DISTRIBUTION (SGU) | SGU | | | 235 | |
| 11/6/2018 | Bought 5 AIG Nov 16 2018 50.0 Put @ 5.6 | 5 AIG Nov 16 | 5.6 | 10.7 | -2810.77 | 0.07 |
| 11/6/2018 | Sold 20 SAFM Nov 16 2018 105.0 Call @ 1.9 | 20 SAFM Nov | 1.9 | 21.95 | 3777.68 | 0.37 |
| 11/6/2018 | Bought 10 SJM Dec 21 2018 110.0 Call @ 3.95 | 10 SJM Dec 21 | 3.95 | 14.45 | -3964.59 | 0.14 |
| 11/6/2018 | Bought 25 STWD Dec 21 2018 20.0 Call @ 1.85 | 25 STWD Dec | 1.85 | 25.7 | -4651.05 | 0.35 |
| 11/7/2018 | Sold 10 IBKR Dec 21 2018 55.0 Put @ 2.1 | 10 IBKR Dec 2 | 2.1 | 14.45 | 2085.36 | 0.19 |
| 11/7/2018 | Sold 15 AIG Nov 16 2018 50.0 Put @ 5.5 | 15 AIG Nov 16 | 5.5 | 15.2 | 8234.45 | 0.35 |
| 11/7/2018 | Sold 15 AIG Nov 16 2018 50.0 Put @ 5.5 | 15 AIG Nov 16 | 5.5 | -15.2 | -8234.45 | -0.35 |
| 11/7/2018 | Sold 15 AIG Nov 16 2018 50.0 Put @ 5.5 | 15 AIG Nov 16 | 5.5 | 18.2 | 8231.45 | 0.35 |
| 11/7/2018 | Bought 30 SKX Dec 21 2018 30.0 Call @ 1.4 | 30 SKX Dec 21 | 1.4 | 29.45 | -4229.87 | 0.42 |
| 11/7/2018 | Bought 30 EAT Dec 21 2018 50.0 Call @ 1.8 | 30 EAT Dec 21 | 1.8 | 29.45 | -5429.87 | 0.42 |
| 11/7/2018 | Bought 25 TSN Dec 21 2018 62.5 Call @ 2 | 25 TSN Dec 21 | 2 | 25.7 | -5026.05 | 0.35 |
| 11/7/2018 | Bought 20 LBTYA Dec 21 2018 27.5 Put @ 2.25 | 20 LBTYA Dec | 2.25 | 21.95 | -4522.23 | 0.28 |
| 11/9/2018 | Sold 20 HRB Nov 16 2018 25.0 Call @ 3.35 | 20 HRB Nov 16 | 3.35 | 21.95 | 6677.64 | 0.41 |
| 11/12/2018 | Sold 20 SAFM Nov 16 2018 105.0 Call @ 2.1 | 20 SAFM Nov | 2.1 | 21.95 | 4177.68 | 0.37 |
| 11/12/2018 | Bought 30 HIG Dec 21 2018 45.0 Put @ 0.9 | 30 HIG Dec 21 | 0.9 | 29.45 | -2729.87 | 0.42 |
| 11/12/2018 | Sold 5 CRM Nov 16 2018 145.0 Put @ 10.3 | 5 CRM Nov 16 | 10.3 | 10.7 | 5139.15 | 0.15 |
| 11/12/2018 | Bought 30 AIG Dec 21 2018 42.0 Put @ 1.47 | 30 AIG Dec 21 | 1.47 | 29.45 | -4439.87 | 0.42 |
| 11/12/2018 | Sold 45 STWD Dec 21 2018 20.0 Call @ 2.1 | 45 STWD Dec | 2.1 | 40.7 | 9408.46 | 0.84 |
| 11/12/2018 | Bought 30 RBS Dec 21 2018 8.0 Put @ 1.6 | 30 RBS Dec 21 | 1.6 | 29.45 | -4829.87 | 0.42 |

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| 11/12/2018 | Bought 40 TEVA Dec 21 2018 23.0 Put @ 0.99 | 40 TEVA Dec 21 | 0.99 | 36.95 | -3997.51 | 0.56 |
| 11/12/2018 | Sold 20 HRB Nov 16 2018 25.0 Call @ 3.45 | 20 HRB Nov 16 | 3.45 | 21.95 | 6877.64 | 0.41 |
| 11/14/2018 | Bought 200 STWD Dec 21 2018 22.5 Call @ 0.15 | 200 STWD Dec 21 | 0.15 | 156.95 | -3159.75 | 2.8 |
| 11/14/2018 | Bought 100 KELYA Dec 21 2018 25.0 Call @ 0.4 | 100 KELYA Dec 21 | 0.4 | 81.95 | -4083.35 | 1.4 |
| 11/14/2018 | Bought 25 KELYA Dec 21 2018 22.5 Call @ 1.55 | 25 KELYA Dec 21 | 1.55 | 25.7 | -3901.05 | 0.35 |
| 11/14/2018 | Bought 15 STWD Dec 21 2018 20.0 Call @ 2.05 | 15 STWD Dec 21 | 2.05 | 18.2 | -3093.41 | 0.21 |
| 11/14/2018 | Sold 15 CALM Dec 21 2018 47.5 Call @ 2.75 | 15 CALM Dec 21 | 2.75 | 18.2 | 4106.51 | 0.29 |
| 11/14/2018 | Sold 20 SAFM Nov 16 2018 105.0 Call @ 0.55 | 20 SAFM Nov 16 | 0.55 | 21.95 | 1077.72 | 0.33 |
| 11/14/2018 | Sold 10 UHS Dec 21 2018 120.0 Call @ 9.3 | 10 UHS Dec 21 | 9.3 | 14.45 | 9285.27 | 0.28 |
| 11/14/2018 | Bought 200 STWD Dec 21 2018 22.5 Call @ 0.15 | 200 STWD Dec 21 | 0.15 | 156.95 | -3159.75 | 2.8 |
| 11/14/2018 | Bought 100 OTEX Dec 21 2018 35.0 Call @ 0.27 | 100 OTEX Dec 21 | 0.27 | 81.95 | -2783.35 | 1.4 |
| 11/14/2018 | Bought 100 OTEX Dec 21 2018 35.0 Call @ 0.25 | 100 OTEX Dec 21 | 0.25 | 81.95 | -2583.35 | 1.4 |
| 11/15/2018 | Bought 50 ARLP Dec 21 2018 20.0 Call @ 0.65 | 50 ARLP Dec 21 | 0.65 | 44.45 | -3295.15 | 0.7 |
| 11/15/2018 | Sold 30 SLB Dec 21 2018 50.0 Put @ 3.4 | 30 SLB Dec 21 | 3.4 | 29.45 | 10169.94 | 0.61 |
| 11/15/2018 | Bought 25 SMG Dec 21 2018 80.0 Call @ 1.75 | 25 SMG Dec 21 | 1.75 | 25.7 | -4401.05 | 0.35 |
| 11/15/2018 | Bought 20 SWKS Dec 21 2018 75.0 Call @ 2.2 | 20 SWKS Dec 21 | 2.2 | 21.95 | -4422.23 | 0.28 |
| 11/15/2018 | Sold 30 RBS Dec 21 2018 8.0 Put @ 2.1 | 30 RBS Dec 21 | 2.1 | 29.45 | 6269.99 | 0.56 |
| 11/16/2018 | Sold 50 HIG Nov 16 2018 45.0 Put @ 1.45 | 50 HIG Nov 16 | 1.45 | 44.45 | 7204.66 | 0.89 |
| 11/16/2018 | Bought 175 NHC @ 79.39 | 175 NHC | 79.39 | 6.95 | -13900.2 | |
| 11/19/2018 | Bought 75 VOD Dec 21 2018 19.0 Put @ 0.49 | 75 VOD Dec 21 | 0.49 | 63.2 | -3739.25 | 1.05 |
| 11/20/2018 | QUALIFIED DIVIDEND (WSTG) | WSTG | | | 340 | |
| 11/20/2018 | QUALIFIED DIVIDEND (WSTG) | WSTG | | | -340 | |
| 11/20/2018 | Sold 15 SJM Dec 21 2018 110.0 Call @ 5.9 | 15 SJM Dec 21 | 5.9 | 18.2 | 8831.44 | 0.36 |
| 11/20/2018 | Bought 50 ARLP Dec 21 2018 20.0 Call @ 0.3 | 50 ARLP Dec 21 | 0.3 | 44.45 | -1545.15 | 0.7 |
| 11/20/2018 | Bought 10 GOLD Jan 18 2019 82.5 Call @ 3.6 | 10 GOLD Jan 18 | 3.6 | 14.45 | -3614.59 | 0.14 |
| 11/20/2018 | Sold 30 EAT Dec 21 2018 50.0 Call @ 1.7 | 30 EAT Dec 21 | 1.7 | 29.45 | 5070 | 0.55 |
| 11/20/2018 | Bought 75 ARLP Jan 18 2019 20.0 Call @ 0.5 | 75 ARLP Jan 18 | 0.5 | 63.2 | -3814.25 | 1.05 |
| 11/20/2018 | Bought 10 UHS Jan 18 2019 135.0 Call @ 3.5 | 10 UHS Jan 18 | 3.5 | 14.45 | -3514.59 | 0.14 |
| 11/20/2018 | QUALIFIED DIVIDEND (WSTG) | WSTG | | | 340 | |
| 11/21/2018 | Bought 25 LPX Jan 18 2019 23.0 Call @ 1.25 | 25 LPX Jan 18 | 1.25 | 25.7 | -3151.05 | 0.35 |
| 11/21/2018 | Sold 50 CS Dec 21 2018 13.0 Put @ 1.05 | 50 CS Dec 21 | 1.05 | 44.45 | 5204.68 | 0.87 |
| 11/23/2018 | Bought 5 UHS Jan 18 2019 130.0 Call @ 6 | 5 UHS Jan 18 | 6 | 10.7 | -3010.77 | 0.07 |
| 11/23/2018 | Sold 15 SLB Dec 21 2018 50.0 Put @ 4.95 | 15 SLB Dec 21 | 4.95 | 18.2 | 7406.46 | 0.34 |
| 11/26/2018 | Sold 75 VOD Dec 21 2018 19.0 Put @ 0.16 | 75 VOD Dec 21 | 0.16 | 63.2 | 1135.58 | 1.22 |
| 11/26/2018 | Bought 30 KELYA Jan 18 2019 22.5 Call @ 1.17 | 30 KELYA Jan 18 | 1.17 | 29.45 | -3539.87 | 0.42 |
| 11/26/2018 | Bought 20 STWD Jan 18 2019 20.0 Call @ 2.1 | 20 STWD Jan 18 | 2.1 | 21.95 | -4222.23 | 0.28 |
| 11/27/2018 | QUALIFIED DIVIDEND (CAKE) | CAKE | | | 132 | |
| 11/27/2018 | Bought 20 SKX Jan 18 2019 27.0 Call @ 1.5 | 20 SKX Jan 18 | 1.5 | 21.95 | -3022.23 | 0.28 |
| 11/27/2018 | Sold 15 SJM Dec 21 2018 110.0 Call @ 3.2 | 15 SJM Dec 21 | 3.2 | 18.2 | 4781.5 | 0.3 |
| 11/28/2018 | QUALIFIED DIVIDEND (SGC) | SGC | | | 120 | |
| 11/28/2018 | Sold 25 SMG Dec 21 2018 80.0 Call @ 0.36 | 25 SMG Dec 21 | 0.36 | 25.7 | 873.89 | 0.41 |
| 11/28/2018 | Bought 5 ADSK Jan 18 2019 140.0 Put @ 6.6 | 5 ADSK Jan 18 | 6.6 | 10.7 | -3310.77 | 0.07 |
| 11/28/2018 | Sold 15 SLB Dec 21 2018 50.0 Put @ 4.45 | 15 SLB Dec 21 | 4.45 | 18.2 | 6656.47 | 0.33 |
| 11/28/2018 | Sold 5 CRM Dec 21 2018 135.0 Put @ 2.74 | 5 CRM Dec 21 | 2.74 | 10.7 | 1359.2 | 0.1 |
| 11/29/2018 | Sold 5 ADSK Jan 18 2019 140.0 Put @ 5.4 | 5 ADSK Jan 18 | 5.4 | 10.7 | 2689.18 | 0.12 |
| 11/29/2018 | Bought 10 SWKS Jan 18 2019 72.5 Call @ 2.9 | 10 SWKS Jan 18 | 2.9 | 14.45 | -2914.59 | 0.14 |
| 11/29/2018 | Bought 10 STWD Jan 18 2019 20.0 Call @ 2.65 | 10 STWD Jan 18 | 2.65 | 14.45 | -2664.59 | 0.14 |
| 11/30/2018 | Bought 40 IBKR Jan 18 2019 50.0 Put @ 0.85 | 40 IBKR Jan 18 | 0.85 | 36.95 | -3437.51 | 0.56 |
| 11/30/2018 | Bought 40 AIG Jan 18 2019 41.0 Put @ 1 | 40 AIG Jan 18 | 1 | 36.95 | -4037.51 | 0.56 |
| 11/30/2018 | Sold 40 IBKR Jan 18 2019 50.0 Put @ 0.48 | 40 IBKR Jan 18 | 0.48 | 36.95 | 1882.39 | 0.66 |
| 11/30/2018 | Sold 10 GOLD Jan 18 2019 82.5 Call @ 1.93 | 10 GOLD Jan 18 | 1.93 | 14.45 | 1915.36 | 0.19 |
| 12/3/2018 | Bought 40 AIG Jan 18 2019 41.0 Put @ 0.65 | 40 AIG Jan 18 | 0.65 | 36.95 | -2637.51 | 0.56 |
| 12/3/2018 | Bought 10 HIG Jan 18 2019 45.0 Put @ 1.74 | 10 HIG Jan 18 | 1.74 | 14.45 | -1754.59 | 0.14 |
| 12/4/2018 | Sold 1 KELYA Jan 18 2019 22.5 Call @ 0.55 | 1 KELYA Jan 18 | 0.55 | 7.7 | 47.29 | 0.01 |
| 12/6/2018 | Sold 15 AIG Dec 21 2018 42.0 Put @ 3.15 | 15 AIG Dec 21 | 3.15 | 18.2 | 4706.5 | 0.3 |
| 12/6/2018 | Sold 15 AIG Dec 21 2018 42.0 Put @ 3.85 | 15 AIG Dec 21 | 3.85 | 18.2 | 5756.48 | 0.32 |
| 12/6/2018 | Sold 5 UHS Dec 21 2018 120.0 Call @ 10 | 5 UHS Dec 21 | 10 | 10.7 | 4989.15 | 0.15 |

| | | | | | | |
|------------|--|-----------------|--------|--------|----------|------|
| 12/7/2018 | Sold 30 CS Dec 21 2018 13.0 Put @ 1.65 | 30 CS Dec 21 : | 1.65 | 29.45 | 4920.01 | 0.54 |
| 12/7/2018 | Bought 30 SKX Jan 18 2019 27.0 Call @ 1.05 | 30 SKX Jan 18 | 1.05 | 29.45 | -3179.87 | 0.42 |
| 12/7/2018 | Bought 25 VIAB Jan 18 2019 30.0 Call @ 1.55 | 25 VIAB Jan 18 | 1.55 | 25.7 | -3901.05 | 0.35 |
| 12/7/2018 | Bought 150 STWD Dec 21 2018 22.5 Call @ 0.2 | 150 STWD Dec | 0.2 | 119.45 | -3121.55 | 2.1 |
| 12/7/2018 | Bought 20 VZ Jan 18 2019 57.5 Call @ 1.69 | 20 VZ Jan 18 : | 1.69 | 21.95 | -3402.23 | 0.28 |
| 12/7/2018 | Bought 60 ARLP Jan 18 2019 20.0 Call @ 0.55 | 60 ARLP Jan 1 | 0.55 | 51.95 | -3352.79 | 0.84 |
| 12/7/2018 | Sold 15 STWD Dec 21 2018 20.0 Call @ 2.45 | 15 STWD Dec | 2.45 | 18.2 | 3656.51 | 0.29 |
| 12/10/2018 | Sold 20 TEVA Dec 21 2018 23.0 Put @ 4.25 | 20 TEVA Dec : | 4.25 | 21.95 | 8477.62 | 0.43 |
| 12/10/2018 | Sold 20 TEVA Dec 21 2018 23.0 Put @ 4.4 | 20 TEVA Dec : | 4.4 | 21.95 | 8777.62 | 0.43 |
| 12/10/2018 | Bought 125 SAFM @ 102.7 | 125 SAFM | 102.7 | 6.95 | -12844.5 | |
| 12/10/2018 | Bought 20 VZ Jan 18 2019 57.5 Call @ 1.6 | 20 VZ Jan 18 : | 1.6 | 21.95 | -3222.23 | 0.28 |
| 12/10/2018 | Sold 25 VIAB Jan 18 2019 30.0 Call @ 1.05 | 25 VIAB Jan 18 | 1.05 | 25.7 | 2598.87 | 0.43 |
| 12/10/2018 | Bought 50 ARLP Jan 18 2019 20.0 Call @ 0.55 | 50 ARLP Jan 1 | 0.55 | 44.45 | -2795.15 | 0.7 |
| 12/11/2018 | Sold 50 SKX Jan 18 2019 27.0 Call @ 0.5 | 50 SKX Jan 18 | 0.5 | 44.45 | 2454.72 | 0.83 |
| 12/11/2018 | Sold 80 CS Dec 21 2018 13.0 Put @ 1.95 | 80 CS Dec 21 : | 1.95 | 66.95 | 15531.57 | 1.48 |
| 12/11/2018 | Sold 10 LBTYA Dec 21 2018 27.5 Put @ 4.7 | 10 LBTYA Dec | 4.7 | 14.45 | 4685.33 | 0.22 |
| 12/11/2018 | Bought 100 CS Jan 18 2019 11.0 Put @ 0.51 | 100 CS Jan 18 : | 0.51 | 81.95 | -5183.35 | 1.4 |
| 12/11/2018 | Bought 10 GOLD Jan 18 2019 85.0 Call @ 3.55 | 10 GOLD Jan 1 | 3.55 | 14.45 | -3564.59 | 0.14 |
| 12/11/2018 | Bought 25 SLB Jan 18 2019 40.0 Put @ 1.74 | 25 SLB Jan 18 | 1.74 | 25.7 | -4376.05 | 0.35 |
| 12/11/2018 | Bought 10 IBKR Jan 18 2019 55.0 Put @ 3.8 | 10 IBKR Jan 18 | 3.8 | 14.45 | -3814.59 | 0.14 |
| 12/11/2018 | Bought 15 VZ Jan 18 2019 57.5 Call @ 2.34 | 15 VZ Jan 18 : | 2.34 | 18.2 | -3528.41 | 0.21 |
| 12/11/2018 | Bought 15 FDP Jan 18 2019 30.0 Call @ 3 | 15 FDP Jan 18 | 3 | 18.2 | -4518.41 | 0.21 |
| 12/11/2018 | Sold 10 LBTYA Dec 21 2018 27.5 Put @ 4 | 10 LBTYA Dec | 4 | 14.45 | 3985.34 | 0.21 |
| 12/11/2018 | Bought 500 SGU @ 9.6959 | 500 SGU | 9.6959 | 6.95 | -4854.9 | |
| 12/11/2018 | Bought 250 SGC @ 18.15 | 250 SGC | 18.15 | 6.95 | -4544.45 | |
| 12/12/2018 | Sold 20 AIG Jan 18 2019 41.0 Put @ 4.45 | 20 AIG Jan 18 | 4.45 | 21.95 | 8877.61 | 0.44 |
| 12/12/2018 | Bought 25 VZ Jan 18 2019 57.5 Call @ 1.45 | 25 VZ Jan 18 : | 1.45 | 25.7 | -3651.05 | 0.35 |
| 12/12/2018 | Bought 200 ARLP Dec 21 2018 20.0 Call @ 0.22 | 200 ARLP Dec : | 0.22 | 156.95 | -4559.75 | 2.8 |
| 12/12/2018 | Sold 30 AIG Jan 18 2019 41.0 Put @ 3.35 | 30 AIG Jan 18 | 3.35 | 29.45 | 10019.94 | 0.61 |
| 12/13/2018 | Sold 30 HIG Dec 21 2018 45.0 Put @ 3.75 | 30 HIG Dec 21 | 3.75 | 29.45 | 11219.92 | 0.63 |
| 12/13/2018 | Sold 10 SWKS Jan 18 2019 72.5 Call @ 1.78 | 10 SWKS Jan 1 | 1.78 | 14.45 | 1765.37 | 0.18 |
| 12/13/2018 | Sold 20 SWKS Dec 21 2018 75.0 Call @ 0.1 | 20 SWKS Dec | 0.1 | 21.95 | 177.73 | 0.32 |
| 12/13/2018 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -11000 | |
| 12/14/2018 | Sold 500 CRUS @ 36.48 | 500 CRUS | 36.48 | 6.95 | 18232.75 | 0.3 |
| 12/17/2018 | Sold 30 AIG Jan 18 2019 41.0 Put @ 3.25 | 30 AIG Jan 18 | 3.25 | 29.45 | 9719.94 | 0.61 |
| 12/17/2018 | Bought 10 HIG Jan 18 2019 45.0 Put @ 3.4 | 10 HIG Jan 18 | 3.4 | 14.45 | -3414.59 | 0.14 |
| 12/18/2018 | Bought 40 CS Jan 18 2019 11.0 Put @ 0.46 | 40 CS Jan 18 : | 0.46 | 81.95 | -1922.51 | 0.56 |
| 12/18/2018 | Bought 60 CS Jan 18 2019 11.0 Put @ 0.45 | 60 CS Jan 18 : | 0.45 | 0 | -2700.84 | 0.84 |
| 12/18/2018 | Sold 80 VZ Jan 18 2019 57.5 Call @ 0.59 | 80 VZ Jan 18 : | 0.59 | 66.95 | 4651.71 | 1.34 |
| 12/20/2018 | Bought 20 ICE Jan 18 2019 75.0 Call @ 1.65 | 20 ICE Jan 18 | 1.65 | 21.95 | -3322.23 | 0.28 |
| 12/21/2018 | Bought 200 LPX Jan 18 2019 23.0 Call @ 0.15 | 200 LPX Jan 18 | 0.15 | 156.95 | -3159.75 | 2.8 |
| 12/21/2018 | Sold 30 HIG Dec 21 2018 45.0 Put @ 2.3 | 30 HIG Dec 21 | 2.3 | 29.45 | 6869.98 | 0.57 |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OAR | 300 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OOT | 200 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OKE | 25 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OKE | 100 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OSK | 30 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OTS | 25 | | | 0 | |
| 12/24/2018 | REMOVAL OF OPTION DUE TO EXPIRATION (OST | 550 | | | 0 | |
| 12/24/2018 | INTERNAL TRANSFER OF CASH | | | | -22000 | |
| 12/24/2018 | THIRD PARTY (CAKE) | 400 CAKE | | | 0 | |
| 12/24/2018 | THIRD PARTY (TAXA) | 2700 TAXA | | | 0 | |
| 12/24/2018 | THIRD PARTY (SPWH) | 5000 SPWH | | | 0 | |
| 12/24/2018 | THIRD PARTY (SGU) | 2500 SGU | | | 0 | |
| 12/27/2018 | Sold 15 SLB Jan 18 2019 40.0 Put @ 4.5 | 15 SLB Jan 18 | 4.5 | 18.2 | 6731.47 | 0.33 |
| 12/27/2018 | Bought 20 BHP Jan 18 2019 48.0 Put @ 2.53 | 20 BHP Jan 18 | 2.53 | 21.95 | -5082.23 | 0.28 |
| 12/27/2018 | Sold 20 ICE Jan 18 2019 75.0 Call @ 0.9 | 20 ICE Jan 18 | 0.9 | 21.95 | 1777.71 | 0.34 |

| DATE | DESCRIPTION | QUANTITY | SYMBOL | PRICE | COMMISSION | AMOUNT | REG FEE |
|------------|--|----------|-----------------------------|---------|------------|----------|---------|
| 12/27/2018 | Sold 15 FDP Jan 18 2019 30.0 Call @ 0.6 | 15 | FDP Jan 18 | 0.6 | 18.2 | 881.55 | 0.25 |
| 12/27/2018 | Sold 10 SLB Jan 18 2019 40.0 Put @ 4.95 | 10 | SLB Jan 18 | 4.95 | 14.45 | 4935.33 | 0.22 |
| 12/27/2018 | Bought 75 STWD Feb 15 2019 20.0 Call @ 0.45 | 75 | STWD Feb | 0.45 | 63.2 | -3439.25 | 1.05 |
| 12/27/2018 | Bought 400 EAT @ 43.445 | 400 | EAT | 43.445 | 6.95 | -17385 | |
| 12/27/2018 | Bought 100 STWD Jan 18 2019 20.0 Call @ 0.25 | 100 | STWD Jan | 0.25 | 81.95 | -2583.35 | 1.4 |
| 12/28/2018 | Sold 10 IBKR Jan 18 2019 55.0 Put @ 3.7 | 10 | IBKR Jan 18 | 3.7 | 14.45 | 3685.34 | 0.21 |
| 12/31/2018 | Bought 35 STWD Feb 15 2019 20.0 Call @ 0.55 | 35 | STWD Feb | 0.55 | 33.2 | -1958.69 | 0.49 |
| 12/31/2018 | Bought 50 VOD Feb 15 2019 19.0 Put @ 0.76 | 50 | VOD Feb 15 | 0.76 | 44.45 | -3845.15 | 0.7 |
| 1/2/2019 | OPTION POSITION CHANGE (OGOLD.AI90085000 | 10 | | | | 0 | |
| 1/2/2019 | OPTION POSITION CHANGE (GOLD1 Jan 18 2019 | 10 | GOLD1 Jan 18 2019 85.0 Call | | | 0 | |
| 1/4/2019 | Sold 200 CS Jan 18 2019 11.0 Put @ 0.15 | 200 | CS Jan 18 2 | 0.15 | 156.95 | 2839.81 | 3.24 |
| 1/4/2019 | Sold 50 VOD Feb 15 2019 19.0 Put @ 0.4 | 50 | VOD Feb 15 | 0.4 | 44.45 | 1954.72 | 0.83 |
| 1/4/2019 | Bought 5 CRM Feb 15 2019 135.0 Put @ 5.4 | 5 | CRM Feb 15 | 5.4 | 10.7 | -2710.77 | 0.07 |
| 1/7/2019 | Bought 5 CRM Feb 15 2019 135.0 Put @ 4.2 | 5 | CRM Feb 15 | 4.2 | 10.7 | -2110.77 | 0.07 |
| 1/9/2019 | Sold 290 SGC @ 18.5 | 290 | SGC | 18.5 | 6.95 | 5357.95 | 0.1 |
| 1/9/2019 | Sold 100 LPX Jan 18 2019 23.0 Call @ 0.6 | 100 | LPX Jan 18 | 0.6 | 81.95 | 5916.37 | 1.68 |
| 1/9/2019 | Bought 20 LBTYA Feb 15 2019 22.5 Put @ 2.15 | 20 | LBTYA Feb | 2.15 | 21.95 | -4322.23 | 0.28 |
| 1/9/2019 | Bought 10 BHP May 17 2019 49.0 Put @ 3.5 | 10 | BHP May 17 | 3.5 | 14.45 | -3514.59 | 0.14 |
| 1/10/2019 | OFF-CYCLE INTEREST (MMDA1) | | MMDA1 | | | 0.01 | |
| 1/10/2019 | OPTION POSITION CHANGE (BHP Jan 18 2019 48 | 20 | BHP Jan 18 2019 48.0 Put | | | 0 | |
| 1/10/2019 | OPTION POSITION CHANGE (BHP1 Jan 18 2019 4 | 20 | BHP1 Jan 18 2019 48.0 Put | | | 0 | |
| 1/10/2019 | OPTION POSITION CHANGE (BHP May 17 2019 4 | 10 | BHP May 17 2019 49.0 Put | | | 0 | |
| 1/10/2019 | OPTION POSITION CHANGE (BHP1 May 17 2019 | 10 | BHP1 May 17 2019 49.0 Put | | | 0 | |
| 1/10/2019 | Sold 1160 SGC @ 18.5 | 1160 | SGC | 18.5 | 6.95 | 21452.63 | 0.42 |
| 1/10/2019 | Bought 75 VOD Feb 15 2019 19.0 Put @ 0.49 | 75 | VOD Feb 15 | 0.49 | 63.2 | -3739.25 | 1.05 |
| 1/10/2019 | Bought 10 CRM Feb 15 2019 135.0 Put @ 2.34 | 10 | CRM Feb 15 | 2.34 | 14.45 | -2354.59 | 0.14 |
| 1/10/2019 | Bought 20 APC Feb 15 2019 47.5 Put @ 2.35 | 20 | APC Feb 15 | 2.35 | 21.95 | -4722.23 | 0.28 |
| 1/10/2019 | Bought 1 AMZN Feb 15 2019 1650.0 Put @ 88 | 1 | AMZN Feb | 88 | 7.7 | -8807.71 | 0.01 |
| 1/11/2019 | Bought 15 IBKR Feb 15 2019 50.0 Put @ 2.25 | 15 | IBKR Feb 15 | 2.25 | 18.2 | -3393.41 | 0.21 |
| 1/11/2019 | Bought 15 BHP Feb 15 2019 46.0 Put @ 1.2 | 15 | BHP Feb 15 | 1.2 | 18.2 | -1818.41 | 0.21 |
| 1/14/2019 | Sold 50 LPX Jan 18 2019 23.0 Call @ 0.77 | 50 | LPX Jan 18 | 0.77 | 44.45 | 3804.7 | 0.85 |
| 1/14/2019 | Sold 75 LPX Jan 18 2019 23.0 Call @ 0.8 | 75 | LPX Jan 18 | 0.8 | 63.2 | 5935.52 | 1.28 |
| 1/14/2019 | Sold 20 HIG Jan 18 2019 45.0 Put @ 0.65 | 20 | HIG Jan 18 | 0.65 | 21.95 | 1277.71 | 0.34 |
| 1/16/2019 | Bought 20 VZ Feb 15 2019 57.5 Call @ 1.5 | 20 | VZ Feb 15 | 1.5 | 21.95 | -3022.23 | 0.28 |
| 1/16/2019 | Sold 1 AMZN Feb 15 2019 1650.0 Put @ 53.05 | 1 | AMZN Feb | 53.05 | 7.7 | 5297.22 | 0.08 |
| 1/16/2019 | Sold 20 CRM Feb 15 2019 135.0 Put @ 1.01 | 20 | CRM Feb 15 | 1.01 | 21.95 | 1997.7 | 0.35 |
| 1/16/2019 | Bought 40 OTEX Feb 15 2019 35.0 Call @ 0.95 | 40 | OTEX Feb 15 | 0.95 | 36.95 | -3837.51 | 0.56 |
| 1/16/2019 | Bought 20 CALM Feb 15 2019 42.5 Call @ 1.6 | 20 | CALM Feb | 1.6 | 21.95 | -3222.23 | 0.28 |
| 1/16/2019 | Bought 20 ICE Feb 15 2019 75.0 Call @ 1.8 | 20 | ICE Feb 15 | 1.8 | 21.95 | -3622.23 | 0.28 |
| 1/18/2019 | Sold 29 KELYA Jan 18 2019 22.5 Call @ 1.2 | 29 | KELYA Jan | 1.2 | 28.7 | 3450.78 | 0.52 |
| 1/18/2019 | Sold 50 STWD Jan 18 2019 20.0 Call @ 1.09 | 50 | STWD Jan | 1.09 | 44.45 | 5404.68 | 0.87 |
| 1/18/2019 | Sold 5 UHS Jan 18 2019 130.0 Call @ 1.75 | 5 | UHS Jan 18 | 1.75 | 10.7 | 864.21 | 0.09 |
| 1/18/2019 | Sold 80 STWD Jan 18 2019 20.0 Call @ 1.1 | 80 | STWD Jan | 1.1 | 66.95 | 8731.66 | 1.39 |
| 1/18/2019 | Bought 800 PETS @ 23.1399 | 800 | PETS | 23.1399 | 6.95 | -18518.9 | |
| 1/22/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OAR | 185 | | | | 0 | |
| 1/22/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OUF | 10 | | | | 0 | |
| 1/22/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OBH | 20 | | | | 0 | |
| 1/22/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OGC | 10 | | | | 0 | |
| 1/22/2019 | Bought 30 LBTYA Feb 15 2019 22.5 Put @ 1.22 | 30 | LBTYA Feb | 1.22 | 29.45 | -3689.87 | 0.42 |
| 1/22/2019 | Sold 110 STWD Feb 15 2019 20.0 Call @ 0.95 | 110 | STWD Feb | 0.95 | 89.45 | 10358.65 | 1.9 |
| 1/22/2019 | Bought 30 BHP Feb 15 2019 46.0 Put @ 0.96 | 30 | BHP Feb 15 | 0.96 | 29.45 | -2909.87 | 0.42 |
| 1/22/2019 | Bought 3 NFLX Feb 15 2019 330.0 Put @ 15 | 3 | NFLX Feb 15 | 15 | 9.2 | -4509.24 | 0.04 |
| 1/22/2019 | Bought 25 BSX Mar 15 2019 37.0 Put @ 1.33 | 25 | BSX Mar 15 | 1.33 | 25.7 | -3351.05 | 0.35 |
| 1/24/2019 | Sold 400 SBGL @ 2.865 | 400 | SBGL | 2.865 | 6.95 | 1138.99 | 0.06 |
| 1/24/2019 | Sold 1000 SBGL @ 2.861 | 1000 | SBGL | 2.861 | 0 | 2860.84 | 0.16 |
| 1/24/2019 | Sold 9343 SBGL @ 2.86 | 9343 | SBGL | 2.86 | 0 | 26719.52 | 1.46 |

| | | | | | | |
|-----------|--|----------------|----------|-------|----------|------|
| 1/24/2019 | Bought 2000 PCG @ 13.0076 | 2000 PCG | 13.0076 | 6.95 | -26022.2 | |
| 1/24/2019 | Sold 100 SAFM @ 116.915 | 100 SAFM | 116.915 | 6.95 | 11684.39 | 0.16 |
| 1/24/2019 | Sold 100 SAFM @ 116.91 | 100 SAFM | 116.91 | 0 | 11690.84 | 0.16 |
| 1/24/2019 | Bought 2000 PCG @ 13.49 | 2000 PCG | 13.49 | 6.95 | -26987 | |
| 1/24/2019 | Sold 400 EAT @ 47.1458 | 400 EAT | 47.1458 | 6.95 | 18851.07 | 0.3 |
| 1/24/2019 | Sold 175 NHC @ 76.1092 | 175 NHC | 76.1092 | 6.95 | 13311.97 | 0.19 |
| 1/24/2019 | Bought 2200 PCG @ 13.7262 | 2200 PCG | 13.7262 | 6.95 | -30204.6 | |
| 1/24/2019 | Bought 200 PCG @ 13.725 | 200 PCG | 13.725 | 0 | -2745 | |
| 1/24/2019 | Sold 300 PETS @ 22.23 | 300 PETS | 22.23 | 6.95 | 6661.92 | 0.13 |
| 1/24/2019 | Sold 500 PETS @ 22.22 | 500 PETS | 22.22 | 0 | 11109.8 | 0.2 |
| 1/24/2019 | Bought 1300 PCG @ 13.9264 | 1300 PCG | 13.9264 | 6.95 | -18111.3 | |
| 1/24/2019 | Sold 175 SAFM @ 117.0235 | 175 SAFM | 117.0235 | 6.95 | 20471.87 | 0.29 |
| 1/24/2019 | Bought 1000 PCG @ 14.4635 | 1000 PCG | 14.4635 | 6.95 | -14470.5 | |
| 1/24/2019 | Bought 400 PCG @ 13.8183 | 400 PCG | 13.8183 | 6.95 | -5534.27 | |
| 1/25/2019 | Sold 20 PCG Mar 15 2019 14.0 Call @ 1.84 | 20 PCG Mar 1 | 1.84 | 21.95 | 3657.68 | 0.37 |
| 1/25/2019 | Sold 70 PCG Mar 15 2019 14.0 Call @ 1.9 | 70 PCG Mar 1 | 1.9 | 59.45 | 13239.26 | 1.29 |
| 1/25/2019 | Sold 50 LBTYA Feb 15 2019 22.5 Put @ 0.65 | 50 LBTYA Feb | 0.65 | 44.45 | 3204.71 | 0.84 |
| 1/25/2019 | Sold 85 EOCCY @ 20.7 | 85 EOCCY | 20.7 | 6.95 | 1752.52 | 0.03 |
| 1/28/2019 | Bought 20 PCG Mar 15 2019 14.0 Call @ 1.25 | 20 PCG Mar 1 | 1.25 | 21.95 | -2522.23 | 0.28 |
| 1/28/2019 | Bought 20 PCG Mar 15 2019 14.0 Call @ 1.2 | 20 PCG Mar 1 | 1.2 | 21.95 | -2422.23 | 0.28 |
| 1/28/2019 | Sold 2000 PCG @ 11.1 | 2000 PCG | 11.1 | 6.95 | 22192.52 | 0.53 |
| 1/28/2019 | Sold 2000 PCG @ 11.3 | 2000 PCG | 11.3 | 6.95 | 22592.52 | 0.53 |
| 1/28/2019 | Bought 500 FDP @ 30.9 | 500 FDP | 30.9 | 6.95 | -15457 | |
| 1/28/2019 | Bought 1000 SBH @ 17.72 | 1000 SBH | 17.72 | 6.95 | -17727 | |
| 1/28/2019 | Bought 55 BHP Feb 15 2019 46.0 Put @ 0.45 | 55 BHP Feb 15 | 0.45 | 48.2 | -2523.97 | 0.77 |
| 1/28/2019 | Bought 25 VOD Feb 15 2019 19.0 Put @ 1.23 | 25 VOD Feb 15 | 1.23 | 25.7 | -3101.05 | 0.35 |
| 1/28/2019 | Bought 5 DLTR Mar 15 2019 95.0 Call @ 4.5 | 5 DLTR Mar 15 | 4.5 | 10.7 | -2260.77 | 0.07 |
| 1/28/2019 | QUALIFIED DIVIDEND (EOCCY) | EOCCY | | | 499.8 | |
| 1/28/2019 | Bought 50 FCX Feb 15 2019 10.5 Put @ 0.48 | 50 FCX Feb 15 | 0.48 | 44.45 | -2445.15 | 0.7 |
| 1/28/2019 | Bought 50 BHP Feb 15 2019 46.0 Put @ 0.45 | 50 BHP Feb 15 | 0.45 | 44.45 | -2295.15 | 0.7 |
| 1/28/2019 | Bought 15 BSX Mar 15 2019 37.0 Put @ 1.58 | 15 BSX Mar 15 | 1.58 | 18.2 | -2388.41 | 0.21 |
| 1/28/2019 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -5000 | |
| 1/28/2019 | Sold 20 ICE Feb 15 2019 75.0 Call @ 1.91 | 20 ICE Feb 15 | 1.91 | 21.95 | 3797.68 | 0.37 |
| 1/28/2019 | Bought 5 DLTR Mar 15 2019 95.0 Call @ 4.65 | 5 DLTR Mar 15 | 4.65 | 10.7 | -2335.77 | 0.07 |
| 1/28/2019 | Bought 40 CALM Feb 15 2019 42.5 Call @ 0.64 | 40 CALM Feb 15 | 0.64 | 36.95 | -2597.51 | 0.56 |
| 1/28/2019 | Bought 25 TSN Mar 15 2019 62.5 Call @ 1.94 | 25 TSN Mar 15 | 1.94 | 25.7 | -4876.05 | 0.35 |
| 1/29/2019 | OFF-CYCLE INTEREST (MMDA1) | MMDA1 | | | 0.2 | |
| 1/29/2019 | Bought 2 PCG Mar 15 2019 14.0 Call @ 1.65 | 2 PCG Mar 15 | 1.65 | 21.95 | -351.98 | 0.03 |
| 1/29/2019 | Bought 1 PCG Mar 15 2019 14.0 Call @ 1.62 | 1 PCG Mar 15 | 1.62 | 0 | -162.01 | 0.01 |
| 1/29/2019 | Bought 17 PCG Mar 15 2019 14.0 Call @ 1.55 | 17 PCG Mar 15 | 1.55 | 0 | -2635.24 | 0.24 |
| 1/30/2019 | Sold 40 OTEX Feb 15 2019 35.0 Call @ 1.15 | 40 OTEX Feb 15 | 1.15 | 36.95 | 4562.35 | 0.7 |
| 1/30/2019 | Sold 1 BHP Feb 15 2019 46.0 Put @ 0.1 | 1 BHP Feb 15 | 0.1 | 7.7 | 2.29 | 0.01 |
| 1/31/2019 | Sold 45 BHP Feb 15 2019 46.0 Put @ 0.1 | 45 BHP Feb 15 | 0.1 | 40.7 | 408.57 | 0.73 |
| 1/31/2019 | Bought 30 PCG Mar 15 2019 14.0 Call @ 1.1 | 30 PCG Mar 15 | 1.1 | 29.45 | -3329.87 | 0.42 |
| 1/31/2019 | Sold 915 EOCCY @ 20.7 | 915 EOCCY | 20.7 | 6.95 | 18933.19 | 0.36 |
| 1/31/2019 | Bought 25 OTEX Feb 15 2019 35.0 Call @ 1.45 | 25 OTEX Feb 15 | 1.45 | 25.7 | -3651.05 | 0.35 |
| 1/31/2019 | Bought 100 VZ Mar 15 2019 57.5 Call @ 0.51 | 100 VZ Mar 15 | 0.51 | 81.95 | -5183.35 | 1.4 |
| 1/31/2019 | Bought 2 SJM Mar 15 2019 105.0 Call @ 3.49 | 2 SJM Mar 15 | 3.49 | 14.45 | -712.48 | 0.03 |
| 1/31/2019 | Bought 8 SJM Mar 15 2019 105.0 Call @ 3.48 | 8 SJM Mar 15 | 3.48 | 0 | -2784.11 | 0.11 |
| 2/4/2019 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -5000 | |
| 2/4/2019 | Sold 20 APC Feb 15 2019 47.5 Put @ 1.16 | 20 APC Feb 15 | 1.16 | 21.95 | 2297.64 | 0.41 |
| 2/4/2019 | Bought 40 VOD Mar 15 2019 18.0 Put @ 0.56 | 40 VOD Mar 15 | 0.56 | 36.95 | -2277.63 | 0.68 |
| 2/4/2019 | Bought 30 AIG Mar 15 2019 43.0 Put @ 1.33 | 30 AIG Mar 15 | 1.33 | 29.45 | -4019.96 | 0.51 |
| 2/6/2019 | Sold 40 BSX Mar 15 2019 37.0 Put @ 0.42 | 40 BSX Mar 15 | 0.42 | 36.95 | 1642.27 | 0.78 |
| 2/8/2019 | Bought 5 AIG Mar 15 2019 43.0 Put @ 2.38 | 5 AIG Mar 15 | 2.38 | 10.7 | -1200.78 | 0.08 |
| 2/12/2019 | Sold 10 VOD Feb 15 2019 19.0 Put @ 0.59 | 10 VOD Feb 15 | 0.59 | 44.45 | 545.35 | 0.2 |
| 2/12/2019 | Sold 40 VOD Feb 15 2019 19.0 Put @ 0.58 | 40 VOD Feb 15 | 0.58 | 0 | 2319.21 | 0.79 |

| | | | | | | |
|-----------|--|----------------|---------|-------|----------|------|
| 2/12/2019 | Bought 10 AIG Mar 15 2019 43.0 Put @ 1.22 | 10 AIG Mar 15 | 1.22 | 14.45 | -1234.62 | 0.17 |
| 2/12/2019 | Bought 100 VZ Mar 15 2019 57.5 Call @ 0.16 | 100 VZ Mar 15 | 0.16 | 81.95 | -1683.64 | 1.69 |
| 2/14/2019 | Sold 30 CALM Feb 15 2019 42.5 Call @ 1.1 | 30 CALM Feb | 1.1 | 29.45 | 3269.94 | 0.61 |
| 2/14/2019 | Sold 30 CALM Feb 15 2019 42.5 Call @ 1.3 | 30 CALM Feb | 1.3 | 29.45 | 3869.93 | 0.62 |
| 2/14/2019 | Bought 15 TEVA Mar 15 2019 18.0 Put @ 1.07 | 15 TEVA Mar | 1.07 | 18.2 | -1623.45 | 0.25 |
| 2/14/2019 | Bought 60 VOD Mar 15 2019 18.0 Put @ 0.47 | 60 VOD Mar 1 | 0.47 | 51.95 | -2872.96 | 1.01 |
| 2/15/2019 | Bought 50 CS Mar 15 2019 12.0 Put @ 0.55 | 50 CS Mar 15 | 0.55 | 44.45 | -2795.3 | 0.85 |
| 2/15/2019 | Sold 25 OTEX Feb 15 2019 35.0 Call @ 2.3 | 25 OTEX Feb 1 | 2.3 | 25.7 | 5723.76 | 0.54 |
| 2/15/2019 | Bought 34 TJX Mar 15 2019 52.5 Call @ 0.55 | 34 TJX Mar 15 | 0.55 | 44.45 | -1915.03 | 0.58 |
| 2/15/2019 | Bought 16 TJX Mar 15 2019 52.5 Call @ 0.54 | 16 TJX Mar 15 | 0.54 | 0 | -864.27 | 0.27 |
| 2/15/2019 | Sold 1 VOD Feb 15 2019 19.0 Put @ 0.8 | 1 VOD Feb 15 | 0.8 | 7.7 | 72.28 | 0.02 |
| 2/19/2019 | Sold 4900 VOD @ 19 | 4900 VOD | 19 | 19.99 | 93078.22 | 1.79 |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXERCISE (OVOD) | 49 | | | 0 | |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OBH) | 104 | | | 0 | |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OIB) | 15 | | | 0 | |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OVZ) | 20 | | | 0 | |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (OFC) | 50 | | | 0 | |
| 2/19/2019 | REMOVAL OF OPTION DUE TO EXPIRATION (ONF) | 3 | | | 0 | |
| 2/19/2019 | Bought 45 CALM Mar 15 2019 45.0 Call @ 0.65 | 45 CALM Mar | 0.65 | 40.7 | -2966.46 | 0.76 |
| 2/19/2019 | Bought 4900 VOD @ 18.4196 | 4900 VOD | 18.4196 | 6.95 | -90288 | |
| 2/21/2019 | Bought 35 TEVA Mar 15 2019 18.0 Put @ 0.82 | 35 TEVA Mar | 0.82 | 33.2 | -2903.79 | 0.59 |
| 2/21/2019 | Sold 5 DLTR Mar 15 2019 95.0 Call @ 6.2 | 5 DLTR Mar | 6.2 | 10.7 | 3089.17 | 0.13 |
| 2/21/2019 | Sold 5 DLTR Mar 15 2019 95.0 Call @ 5.9 | 5 DLTR Mar | 5.9 | 10.7 | 2939.17 | 0.13 |
| 2/21/2019 | Bought 20 IBKR Mar 15 2019 55.0 Put @ 1.4 | 20 IBKR Mar 1 | 1.4 | 21.95 | -2822.29 | 0.34 |
| 2/22/2019 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -3000 | |
| 2/22/2019 | Sold 500 PCG @ 18.7 | 500 PCG | 18.7 | 6.95 | 9342.87 | 0.18 |
| 2/26/2019 | CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW) | | | | -9500 | |
| 2/28/2019 | Sold 1000 PCG @ 17.7 | 1000 PCG | 17.7 | 6.95 | 17692.7 | 0.35 |
| 2/28/2019 | Bought 100 SFM Apr 18 2019 25.0 Call @ 0.35 | 100 SFM Apr 18 | 0.35 | 81.95 | -3583.64 | 1.69 |
| 2/28/2019 | Bought 500 SBH @ 18.0932 | 500 SBH | 18.0932 | 6.95 | -9053.55 | |

END OF FILE